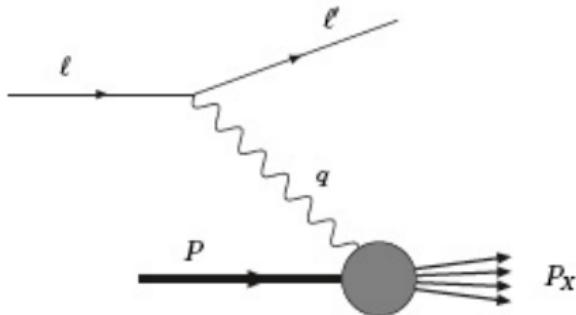


# The nucleon response to an external probe

Sigfrido Boffi

- inclusive deep inelastic scattering
- parton distributions
- semi-inclusive deep inelastic scattering
- transverse momentum dependent distributions
- deeply virtual Compton scattering
- generalized parton distributions

## (inclusive) deep inelastic scattering (DIS)



$$\frac{d^2\sigma}{d\Omega \, dE'} = \frac{\alpha^2}{2MQ^4} \, \frac{E'}{E} \, L_{\mu\nu} \, W^{\mu\nu}$$

**cross section:**  $d\sigma = \frac{1}{\mathcal{F}} |\mathcal{M}|^2 d\mathcal{R}$

$$\text{incident flux: } \mathcal{F} = 4\sqrt{(P \cdot I)^2 - M^2 m^2}$$

$$\text{phase space element: } d\mathcal{R} = (2\pi)^4 \delta^4(I + P - I' - P_X) \frac{d^3 P_X}{(2\pi)^3 2P_Y} \frac{d^3 l'}{(2\pi)^3 2E'}$$

invariant amplitude:  $\mathcal{M} = \bar{u}(l', s') \gamma^\mu u(l, s) \frac{e^2}{Q^2} \langle P_X | J_\mu(0) | P, S \rangle$

$$\text{lepton tensor: } L_{\mu\nu}(l, s; l', s') = [\bar{u}(l', s') \gamma_\mu u(l, s)]^* [\bar{u}(l', s') \gamma_\nu u(l, s)]$$

$$\text{hadron tensor: } W_{\mu\nu}(q; P, S) = \frac{1}{2\pi} \int \frac{d^3 P_X}{(2\pi)^3 2P_X^0} (2\pi)^4 \delta^4(q + P - P_X) \langle P, S | J_\mu(0) | P_X \rangle \langle P_X | J_\nu(0) | P, S \rangle$$

## the lepton tensor

$$L_{\mu\nu}(I, s; I', s')$$

$$= L_{\mu\nu}^{(S)}(I; I') + iL_{\mu\nu}^{(A)}(I, s; I') + L'_{\mu\nu}^{(S)}(I, s; I', s') + iL'_{\mu\nu}^{(A)}(I; I', s')$$

$$L_{\mu\nu}^{(S)}(I; I') = I_\mu I'_\nu + I'_\mu I_\nu - g_{\mu\nu} (I \cdot I' - m^2)$$

$$\begin{aligned} L_{\mu\nu}^{(A)}(I, s; I') &= m \varepsilon_{\mu\nu\alpha\beta} s^\alpha (I - I')^\beta \\ &= \lambda \varepsilon_{\mu\nu\alpha\beta} I^\alpha q^\beta \end{aligned}$$

$$\begin{aligned} L'_{\mu\nu}^{(S)}(I, s; I', s') &= (I \cdot s') (I'_\mu s_\nu + s_\mu I'_\nu - g_{\mu\nu} I' \cdot s) \\ &\quad - (I \cdot I' - m^2) (s_\mu s'_\nu + s'_\mu s_\nu - g_{\mu\nu} s \cdot s') \\ &\quad + (I' \cdot s) (s'_\mu I_\nu + I_\mu s'_\nu) - (s \cdot s') (I_\mu I'_\nu + I'_\mu I_\nu) \end{aligned}$$

$$\begin{aligned} L'_{\mu\nu}^{(A)}(I; I', s') &= m \varepsilon_{\mu\nu\alpha\beta} s'^\alpha (I - I')^\beta \\ &= \lambda \varepsilon_{\mu\nu\alpha\beta} I'^\alpha q^\beta \end{aligned}$$

## the hadron tensor

$$W_{\mu\nu}(q; P, S) = W_{\mu\nu}^{(S)}(q; P) + i \ W_{\mu\nu}^{(A)}(q; P, S)$$

## the DIS cross section

$$\begin{aligned} & \frac{d^2\sigma}{d\Omega \ dE'}(I, s, P, S; I', s') \\ &= \frac{\alpha^2}{2MQ^4} \ \frac{E'}{E} \left[ L_{\mu\nu}^{(S)} \ W^{\mu\nu(S)} + L'_{\mu\nu}^{(S)} \ W^{\mu\nu(S)} - L_{\mu\nu}^{(A)} \ W^{\mu\nu(A)} - L'_{\mu\nu}^{(A)} \ W^{\mu\nu(A)} \right] \end{aligned}$$

- unpolarized cross section proportional to  $W^{\mu\nu(S)}$ :

$$\frac{d^2\sigma^{\text{unp}}}{d\Omega \ dE'}(I, P; I') = \frac{1}{4} \sum_{s, s', S} \frac{d^2\sigma}{d\Omega \ dE'}(I, s, P, S; I', s') = \frac{\alpha^2}{2MQ^4} \ \frac{E'}{E} \ 2L_{\mu\nu}^{(S)} \ \textcolor{red}{W^{\mu\nu(S)}}$$

- differences of cross sections single out  $W^{\mu\nu(A)}$  term:

$$\sum_{s'} \left[ \frac{d^2\sigma}{d\Omega \ dE'}(I, s, P, -S; I', s') - \frac{d^2\sigma}{d\Omega \ dE'}(I, s, P, S; I', s') \right] = \frac{\alpha^2}{2MQ^4} \ \frac{E'}{E} \ 4L_{\mu\nu}^{(A)} \ \textcolor{red}{W^{\mu\nu(A)}}$$

- if target spin unobserved (only two independent vectors  $q^\mu$ ,  $P^\mu$ )

$$\begin{aligned} \frac{1}{2M} W_{\mu\nu}^{(S)} &= \frac{1}{2M} W_{\nu\mu}^{(S)} \\ &= -W_1 g_{\mu\nu} + W_2 \frac{1}{M^2} P_\mu P_\nu + W_3 \frac{1}{M^2} q_\mu q_\nu + W_4 \frac{1}{M^2} (P_\mu q_\nu + q_\mu P_\nu) \\ \frac{1}{2M} W_{\mu\nu}^{(A)} &= -\frac{1}{2M} W_{\nu\mu}^{(A)} \\ &= W_5 \frac{1}{M^2} (P_\mu q_\nu - q_\mu P_\nu) \end{aligned}$$

N.B.  $W_i \equiv W_i(P \cdot q, Q^2)$

- gauge invariance (current conservation, i.e.  $q^\mu J_\mu = 0$ )  $\implies q^\mu W_{\mu\nu}^{(S)} = q^\mu W_{\mu\nu}^{(A)} = 0$

$$\begin{aligned} -W_1 q^\nu + W_2 \frac{1}{M^2} q \cdot P P^\nu + W_3 \frac{1}{M^2} q_\mu^2 q^\nu + W_4 \frac{1}{M^2} (q \cdot P q^\nu + q_\mu^2 P^\nu) &= 0 \\ W_5 \frac{1}{M^2} (q \cdot P q^\nu - q_\mu^2 P^\nu) &= 0 \end{aligned}$$

i.e.

$$\begin{aligned} -W_1 + W_3 \frac{1}{M^2} q_\mu^2 + W_4 \frac{1}{M^2} q \cdot P &= 0 \\ W_2 \frac{1}{M^2} q \cdot P + W_4 \frac{1}{M^2} q_\mu^2 &= 0 \\ W_5 &= 0 \end{aligned}$$

$$W_5 = 0 \quad \Rightarrow \quad W_{\mu\nu}^{(A)} = 0$$

$$W_4 = -W_2 \frac{q \cdot P}{q_\mu^2}, \quad W_3 = W_2 \left( \frac{q \cdot P}{q_\mu^2} \right)^2 + W_1 M^2 \frac{1}{q_\mu^2}$$

$$\Rightarrow \frac{1}{2M} W_{\mu\nu} = \frac{1}{2M} W_{\mu\nu}^{(S)} = -W_1 \tilde{g}_{\mu\nu} + W_2 \frac{1}{M^2} \tilde{P}_\mu \tilde{P}_\nu$$

where

$$\tilde{g}^{\mu\nu} = g^{\mu\nu} - \frac{q^\mu q^\nu}{q_\lambda^2}, \quad \tilde{P}^\mu = P^\mu - \frac{P \cdot q}{q_\lambda^2} q^\mu$$

$$\Rightarrow \frac{d^2 \sigma^{\text{unp}}}{d\Omega dE'} = \frac{4\alpha^2 E'^2}{Q^4} \left[ 2W_1 \sin^2 \frac{1}{2}\theta + W_2 \cos^2 \frac{1}{2}\theta \right]$$

Similarly, including spin d.o.f.,

$$\frac{1}{2M} W_{\mu\nu}^{(A)}(q; P, S) = \epsilon_{\mu\nu\alpha\beta} q^\alpha \left\{ M S^\beta \textcolor{red}{G_1}(P \cdot q, Q^2) + [(P \cdot q) S^\beta - (S \cdot q) P^\beta] \frac{\textcolor{red}{G_2}(P \cdot q, Q^2)}{M} \right\}$$

$\implies$

$$\begin{aligned} & \sum_{s'} \left[ \frac{d^2\sigma}{d\Omega dE'}(I, s, P, S; I', s') - \frac{d^2\sigma}{d\Omega dE'}(I, s, P, -S; I', s') \right] \equiv \frac{d^2\sigma^{s,S}}{d\Omega dE'} - \frac{d^2\sigma^{s,-S}}{d\Omega dE'} \\ &= \frac{8m\alpha^2}{Q^4} \frac{E'}{E} \left\{ \left[ (q \cdot S)(q \cdot s) + Q^2(s \cdot S) \right] M \textcolor{red}{G_1} \right. \\ & \quad \left. + Q^2 \left[ (s \cdot S)(P \cdot q) - (q \cdot S)(P \cdot s) \right] \frac{\textcolor{red}{G_2}}{M} \right\}, \end{aligned}$$

## the Bjorken limit

$$-q^2 = Q^2 \rightarrow \infty \quad \nu = E - E' \rightarrow \infty \quad x = \frac{Q^2}{2P \cdot q} = \frac{Q^2}{2M\nu} \text{ (fixed)}$$

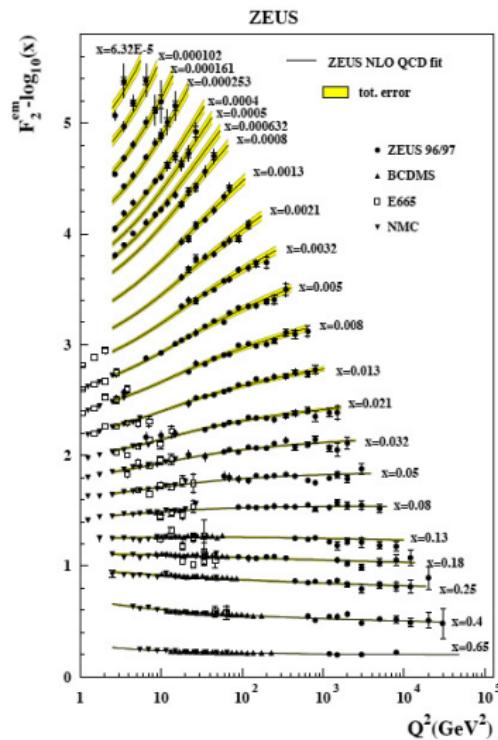
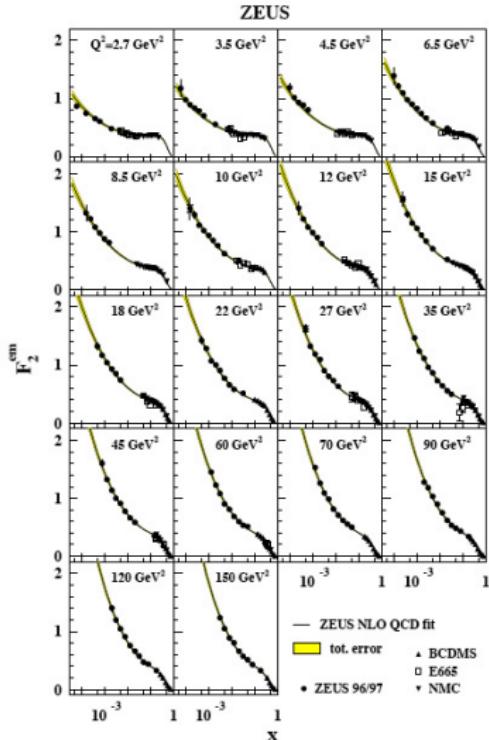
$$\lim_{Bj} M W_1(P \cdot q, Q^2) = F_1(x)$$

$$\lim_{Bj} \nu W_2(P \cdot q, Q^2) = F_2(x)$$

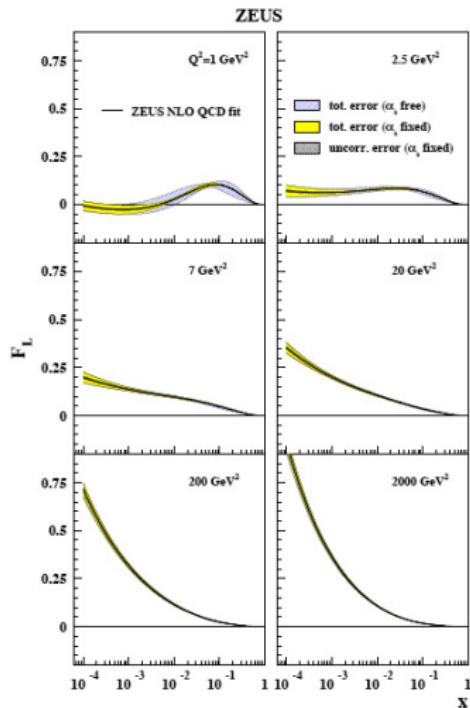
$$\lim_{Bj} M^2 \nu G_1(P \cdot q, Q^2) = g_1(x)$$

$$\lim_{Bj} M \nu^2 G_2(P \cdot q, Q^2) = g_2(x)$$

$$F_L = F_2 \left( 1 + \frac{4M^2 x^2}{Q^2} \right) - 2x F_1 \rightarrow F_2 - 2x F_1$$

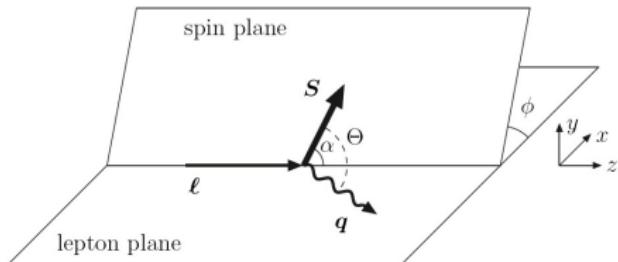


The ZEUS NLO QCD fit compared to ZEUS 96/97 and proton fixed-target  $F_2$  data. Chekanov et al., PR D 67 (2003) 012007



The longitudinal structure function  $F_L$  from ZEUS NLO QCD fit. Chekanov *et al.*, PR D 67 (2003) 012007

## extracting spin structure functions from data



$$A_{\parallel} \equiv \frac{d\sigma^{\rightarrow \leftarrow} - d\sigma^{\rightarrow \rightarrow}}{d\sigma^{\rightarrow \rightarrow} + d\sigma^{\rightarrow \leftarrow}} = \frac{Q^2 [(E + E' \cos \theta) M \textcolor{red}{G}_1 - Q^2 \textcolor{red}{G}_2]}{2EE' [2 \textcolor{red}{W}_1 \sin^2 \frac{1}{2}\theta + \textcolor{red}{W}_2 \cos^2 \frac{1}{2}\theta]}$$

$$A_{\perp} \equiv \frac{d\sigma^{\rightarrow \downarrow} - d\sigma^{\rightarrow \uparrow}}{d\sigma^{\rightarrow \uparrow} + d\sigma^{\rightarrow \downarrow}} = \frac{Q^2 \sin \theta (M \textcolor{red}{G}_1 + 2E \textcolor{red}{G}_2)}{2E [2 \textcolor{red}{W}_1 \sin^2 \frac{1}{2}\theta + \textcolor{red}{W}_2 \cos^2 \frac{1}{2}\theta]} \cos \phi$$

with  $S^\mu = S_\parallel^\mu + S_\perp^\mu$

$$\frac{1}{2M} W_{\mu\nu}^{(A)}(q; P, S) = \varepsilon_{\mu\nu\alpha\beta} q^\alpha \left\{ \frac{MS^\beta \textcolor{red}{G}_1 + [(P \cdot q)S^\beta - (S \cdot q)P^\beta] \textcolor{red}{G}_2}{M} \right\}$$
$$\xrightarrow{\textcolor{red}{Bj}} \frac{1}{P \cdot q} \varepsilon_{\mu\nu\alpha\beta} q^\alpha \left[ S_\parallel^\beta \textcolor{red}{g}_1 + S_\perp^\beta (\textcolor{red}{g}_1 + g_2) \right]$$

i.e.

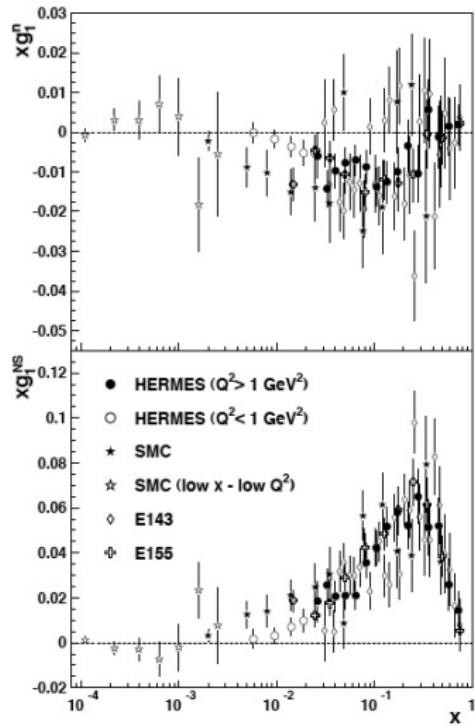
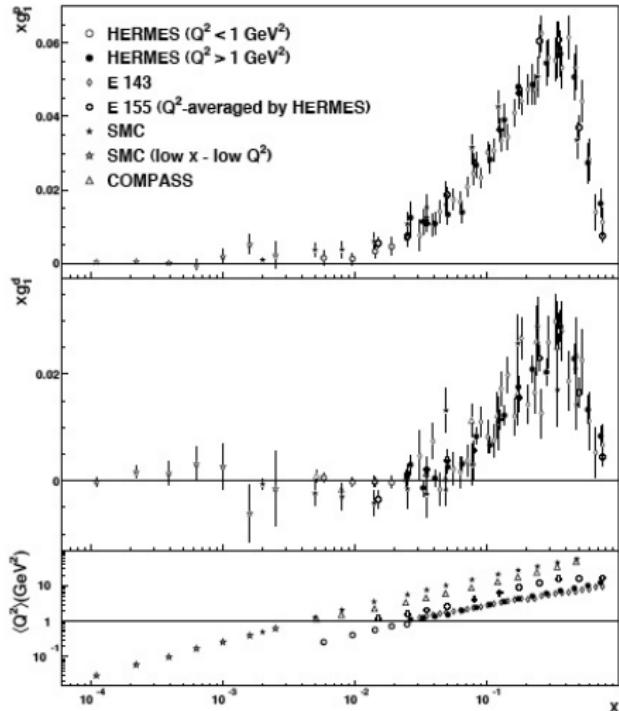
$\textcolor{blue}{g}_1$  describes the **longitudinal** polarization,  $\textcolor{blue}{g}_1 + g_2$  describes the **transverse** polarization

From

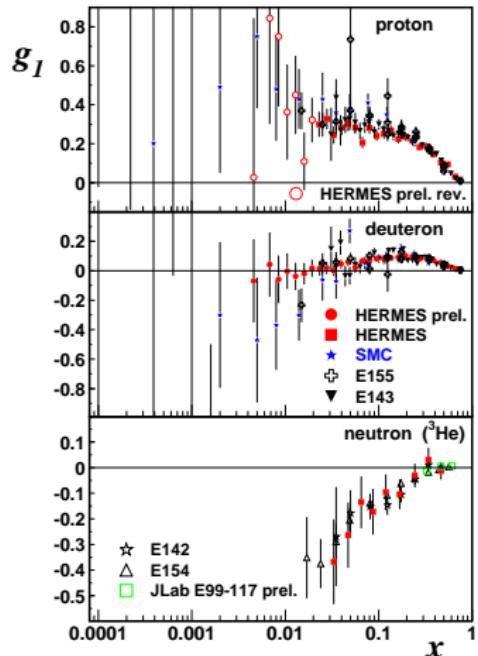
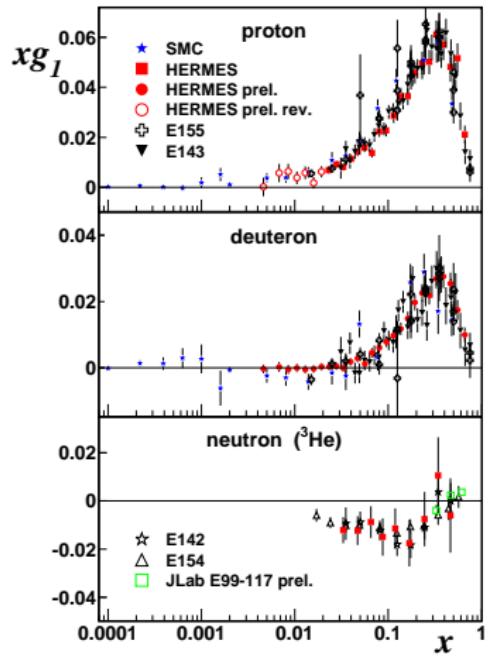
$$g_2(x) = \int_x^1 \frac{dy}{y} g_1(y) - g_1(x)$$

$$\implies \int_0^1 dx x^{J-1} \left\{ \frac{J-1}{J} g_1(x) + g_2(x) \right\} = 0 \quad \text{Wandzura-Wilczek sum rule}$$

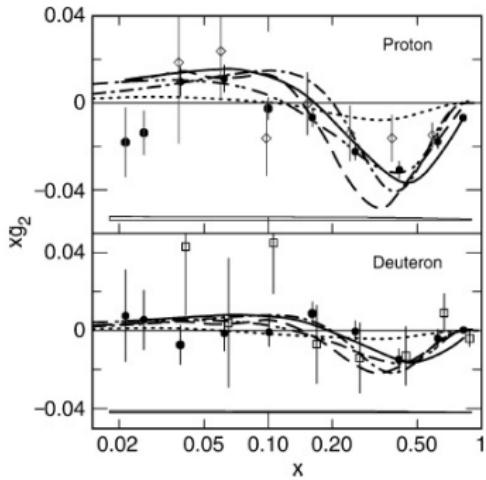
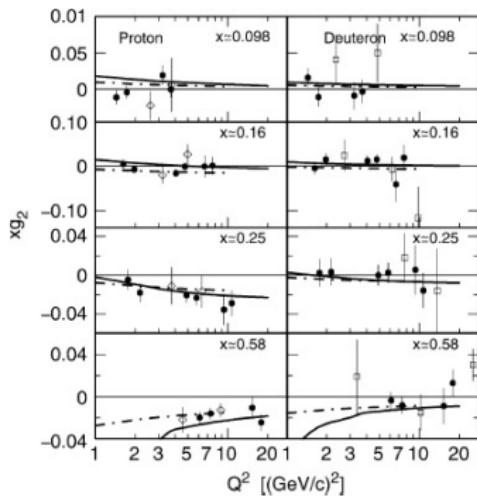
$$\int_0^1 dx g_2(x) = 0 \quad \text{Burkhardt-Cottingham sum rule}$$



Left panel: HERMES results on  $xg_1^P$  and  $xg_1^d$  vs.  $x$ . Right panel:  $xg_1^n$  from data for  $g_1^P$  and  $g_1^d$  (top), the  $x$ -weighted non-singlet spin structure function  $xg_1^{NS}$  obtained by HERMES (bottom). [Airapetian et al., hep-ex/0609039.](#)



Left (right) panel: the world data on  $xg_1$  ( $g_1$ ). taken from Bass, RMP 77 (2005) 1257



Left panel: the SLAC data for  $xg_2$  for the proton and deuteron as a function of  $Q^2$  for selected values of  $x$ . Data are from E155-03 (solid), E143 (open diamond) and E155-99 (open square). The curves show the twist-two  $xg_2^{WW}$  (solid red) and the bag model calculation by Stratmann (dash-dot). Right panel: the  $Q^2$ -averaged structure function  $xg_2$  from E155-03 (solid circle), E143 (open diamond) and E155-99. Also shown is the twist-two  $g_2^{WW}$  at the average  $Q^2$  of E155-03 at each value of  $x$  (solid line), the bag model calculations by Stratmann (dash-dot-dot) and Song (dot) and the chiral soliton model of Weigel and Gamberg (dash-dot) and Wakamatsu (dash). [Anthony et al., PI B 553 \(2003\) 18](#)

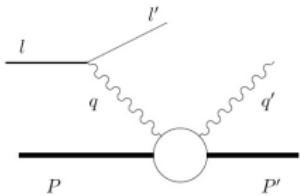
## light-cone dominance in DIS

$$W_{\mu\nu}(q; P, S) = \frac{1}{2\pi} \int \frac{d^3 P_X}{(2\pi)^3 2P_X^0} (2\pi)^4 \delta^4(q + P - P_X) \langle P, S | J_\mu(0) | P_X \rangle \langle P_X | J_\nu(0) | P, S \rangle$$

$$J_\mu(\xi) = e^{i\hat{P}\cdot\xi} J_\mu(0) e^{-i\hat{P}\cdot\xi} \implies \langle P, S | J_\mu(0) | P_X \rangle = e^{i\xi\cdot(P-P_X)} \langle P, S | J_\mu(\xi) | P_X \rangle$$

$$\begin{aligned} W_{\mu\nu}(q, P) &= \frac{1}{2} \sum_S W_{\mu\nu}(q; P, S) &= \frac{1}{2\pi} \int d^4 \xi e^{i\xi\cdot q} \langle P | J_\mu(\xi) J_\nu(0) | P \rangle \\ &= \frac{1}{2\pi} \int d^4 \xi e^{i\xi\cdot q} \langle P | [J_\mu(\xi), J_\nu(0)] | P \rangle \\ &= 2\pi \operatorname{Im} T_{\mu\nu} \end{aligned}$$

## virtual Compton scattering



$$T_{\mu\nu} = i \int d^4 \xi e^{i\xi\cdot q} \langle P | T[J_\mu(\xi) J_\nu(0)] | P \rangle$$

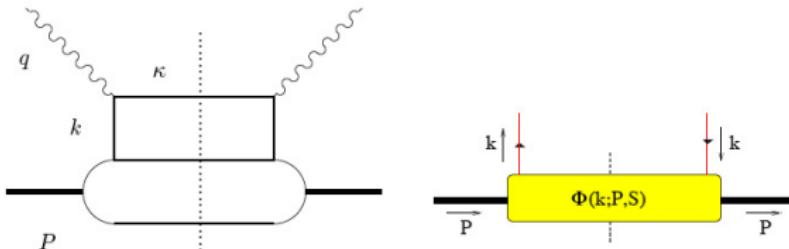
$$q^\mu = (\nu, 0, 0, -\sqrt{\nu^2 + Q^2}) \rightarrow_{Bj} (\nu, 0, 0, -\nu - Mx)$$

$$q^+ = -\frac{Mx}{\sqrt{2}} \text{ fixed}, \quad q^- = \frac{2\nu + Mx}{\sqrt{2}} \rightarrow \sqrt{2}\nu \rightarrow \infty$$

$$\exp(iq \cdot \xi) \rightarrow \exp(iq^+ \xi^-) \implies \xi^+ \rightarrow 0, \xi_T \rightarrow 0$$

Jaffe, 1986

## the quark-quark correlation function



$$\begin{aligned}
 W_{\mu\nu}(q; P, S) &= \frac{1}{2\pi} \int \frac{d^3 P_X}{(2\pi)^3 2P_X^0} (2\pi)^4 \delta^4(q + P - P_X) \langle P, S | J_\mu(0) | P_X \rangle \langle P_X | J_\nu(0) | P, S \rangle \\
 &= \sum_a e_a^2 \int \frac{d^3 P_X}{(2\pi)^3 2P_X^0} (2\pi)^4 \delta^4(q + P - P_X) \int \frac{d^4 \kappa}{(2\pi)^4} \delta(\kappa^2) \int d^4 k \delta^4(k + q - \kappa) \\
 &\quad \times [\bar{u}(\kappa) \gamma_\mu \phi(k; P, S)]^* [\bar{u}(\kappa) \gamma_\nu \phi(k; P, S)] \\
 &= \sum_a e_a^2 \int d^4 k \delta((k + q)^2) \text{Tr} [\Phi(k; P, S) \gamma_\mu (\not{k} + \not{q}) \gamma_\nu]
 \end{aligned}$$

with  $\phi_i(k; P, S) = \langle P_X | \psi_i(0) | P, S \rangle$  and

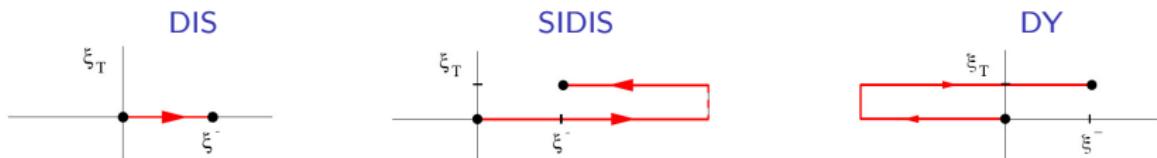
$$\begin{aligned}
 \Phi_{ij}(k; P, S) &= \frac{1}{(2\pi)^4} \int \frac{d^3 P_X}{(2\pi)^3 2P_X^0} (2\pi)^4 \delta^4(P - k - P_X) \langle P, S | \bar{\psi}_j(0) | P_X \rangle \langle P_X | \psi_i(0) | P, S \rangle \\
 &= \frac{1}{(2\pi)^4} \int d^4 \xi e^{ik \cdot \xi} \langle P, S | \bar{\psi}_j(0) \psi_i(\xi) | P, S \rangle,
 \end{aligned}$$

## colour gauge invariance

$$\Phi_{ij}(P, k, S|n) = \frac{1}{(2\pi)^4} \int d^4\xi e^{ik\cdot\xi} \langle PS|\bar{\psi}_j(0) \mathcal{U}(0, \xi|n) \psi_i(\xi)|PS\rangle$$

Wilson line:

$$\begin{aligned} \mathcal{U}(0, \xi|n) &= \mathcal{P} \exp \left[ -ig \int_0^\xi d\tau n^\mu A_\mu(\tau n) \right] \\ &= [0, 0, \mathbf{0}_T; \infty^-, 0, \mathbf{0}_T] \times [\infty^-, 0, \mathbf{0}_T; \infty^-, \xi^+, \boldsymbol{\xi}_T] \times [\infty^-, \xi^+, \boldsymbol{\xi}_T; \xi^-, \xi^+, \boldsymbol{\xi}_T] \end{aligned}$$



the choice of the contour depends on the process under consideration

- hermiticity and parity:

$$\begin{aligned} \Phi^\dagger(P, p, S|n) &= \gamma_0 \Phi(P, p, S|n) \gamma_0 \\ \Phi(P, p, S|n) &= \gamma_0 \Phi(\bar{P}, \bar{p}, -\bar{S}|\bar{n}) \gamma_0, \quad \bar{P}^\mu = (P^0, -\vec{P}) \end{aligned}$$

- time-reversal does not give an additional constraint
- due to the Wilson line Lorentz invariance is violated

$$\begin{aligned}
\Phi(P, k, S | n) = & M \textcolor{red}{A}_1 + \cancel{\not{P}} \textcolor{red}{A}_2 + \cancel{\not{k}} \textcolor{red}{A}_3 + \frac{i}{2M} [\cancel{\not{P}}, \cancel{\not{k}}] \textcolor{red}{A}_4 + i(k \cdot S) \gamma_5 \textcolor{blue}{A}_5 + M \cancel{S} \gamma_5 \textcolor{red}{A}_6 \\
& + \frac{k \cdot S}{M} \cancel{\not{P}} \gamma_5 \textcolor{red}{A}_7 + \frac{k \cdot S}{M} \cancel{\not{k}} \gamma_5 \textcolor{red}{A}_8 + \frac{[\cancel{\not{P}}, \cancel{S}]}{2} \gamma_5 \textcolor{red}{A}_9 + \frac{[\cancel{\not{k}}, \cancel{S}]}{2} \gamma_5 \textcolor{red}{A}_{10} \\
& + \frac{(k \cdot S)}{2M^2} [\cancel{\not{P}}, \cancel{\not{k}}] \gamma_5 \textcolor{red}{A}_{11} + \frac{1}{M} \epsilon^{\mu\nu\rho\sigma} \gamma_\mu P_\nu k_\rho S_\sigma \textcolor{red}{A}_{12} \\
& + \frac{M^2}{P \cdot n_-} \cancel{\not{P}} \textcolor{red}{B}_1 + \frac{iM}{2P \cdot n_-} [\cancel{\not{P}}, \cancel{\not{P}}] \textcolor{red}{B}_2 + \frac{iM}{2P \cdot n_-} [\cancel{\not{k}}, \cancel{\not{P}}] \textcolor{red}{B}_3 \\
& + \frac{1}{P \cdot n_-} \epsilon^{\mu\nu\rho\sigma} \gamma_\mu \gamma_5 P_\nu k_\rho n_{-\sigma} \textcolor{red}{B}_4 \\
& + \frac{1}{P \cdot n_-} \epsilon^{\mu\nu\rho\sigma} P_\mu k_\nu n_{-\rho} S_\sigma \textcolor{red}{B}_5 + \frac{iM^2}{P \cdot n_-} (n_- \cdot S) \gamma_5 \textcolor{red}{B}_6 \\
& + \frac{M}{P \cdot n_-} \epsilon^{\mu\nu\rho\sigma} \gamma_\mu P_\nu n_{-\rho} S_\sigma \textcolor{red}{B}_7 + \frac{M}{P \cdot n_-} \epsilon^{\mu\nu\rho\sigma} \gamma_\mu k_\nu n_{-\rho} S_\sigma \textcolor{red}{B}_8 \\
& + \frac{(k \cdot S)}{M(P \cdot n_-)} \epsilon^{\mu\nu\rho\sigma} \gamma_\mu P_\nu k_\rho n_{-\sigma} \textcolor{red}{B}_9 + \frac{M(n_- \cdot S)}{(P \cdot n_-)^2} \epsilon^{\mu\nu\rho\sigma} \gamma_\mu P_\nu k_\rho n_{-\sigma} \textcolor{red}{B}_{10} \\
& + \frac{M}{P \cdot n_-} (n_- \cdot S) \cancel{\not{P}} \gamma_5 \textcolor{red}{B}_{11} + \frac{M}{P \cdot n_-} (n_- \cdot S) \cancel{\not{k}} \gamma_5 \textcolor{red}{B}_{12} \\
& + \frac{M}{P \cdot n_-} (k \cdot S) \cancel{\not{P}} \gamma_5 \textcolor{red}{B}_{13} + \frac{M^3}{(P \cdot n_-)^2} (n_- \cdot S) \cancel{\not{P}} \gamma_5 \textcolor{red}{B}_{14} \\
& + \frac{M^2}{2P \cdot n_-} [\cancel{\not{P}}_-, \cancel{S}] \gamma_5 \textcolor{blue}{B}_{15} + \frac{(k \cdot S)}{2P \cdot n_-} [\cancel{\not{P}}, \cancel{\not{P}}_-] \gamma_5 \textcolor{red}{B}_{16} + \frac{(k \cdot S)}{2P \cdot n_-} [\cancel{\not{k}}, \cancel{\not{P}}_-] \gamma_5 \textcolor{red}{B}_{17} \\
& + \frac{(n_- \cdot S)}{2P \cdot n_-} [\cancel{\not{P}}, \cancel{\not{k}}] \gamma_5 \textcolor{blue}{B}_{18} + \frac{M^2(n_- \cdot S)}{2(P \cdot n_-)^2} [\cancel{\not{P}}, \cancel{\not{P}}_-] \gamma_5 \textcolor{red}{B}_{19} + \frac{M^2(n_- \cdot S)}{2(P \cdot n_-)^2} [\cancel{\not{k}}, \cancel{\not{P}}_-] \gamma_5 \textcolor{red}{B}_{20}
\end{aligned}$$

$$\Phi_{ij}(P, k, S|n) = \frac{1}{(2\pi)^4} \int d^4\xi e^{ik\cdot\xi} \langle P, S | \bar{\psi}_j(0) \color{red}\mathcal{U}(0, \xi|n)\psi_i(\xi) | P, S \rangle$$

- $k_T$ -dependent correlation function:

$$\begin{aligned} \Phi_{ij}(x, k_T) &= \int dk^- \Phi_{ij}(P, k, S|n) \\ &= \frac{1}{(2\pi)^3} \int d\xi^- d^2\boldsymbol{\xi}_T e^{i(k^+ \xi^- - \mathbf{k}_T \cdot \boldsymbol{\xi}_T)} \langle P, S | \bar{\psi}_j(0) \color{red}\mathcal{U}(0, \xi) \psi_i(\xi) | P, S \rangle \Big|_{\xi^+=0} \\ &\qquad \mathcal{U}(0, \xi) = \mathcal{U}(0, \xi|n)|_{\xi^+=0} \end{aligned}$$

- integrating over  $k_T$ :

$$\begin{aligned} \Phi_{ij}(x) &= \int d^2\mathbf{k}_T \Phi_{ij}(x, k_T) \\ &= \frac{1}{2\pi} \int d\xi^- e^{ik^+ \xi^-} \langle P, S | \bar{\psi}_j(0) \color{red}\mathcal{U}(0, \xi) \psi_i(\xi) | P, S \rangle \Big|_{\xi^+=\boldsymbol{\xi}_T=0} \end{aligned}$$

- fully integrated:

$$\Phi_{ij} = \int d^4k \Phi_{ij}(P, k, S|n) = \langle P, S | \bar{\psi}_j(0) \psi_i(0) | P, S \rangle$$

- orthonormal basis set of  $\Gamma$  matrices:  $\{\mathbf{1}, i\gamma_5, \gamma^\mu, \gamma^\mu\gamma_5, i\sigma^{\mu\nu}\gamma_5\}$
- inner product  $(\Gamma_1, \Gamma_2) = \text{Tr}[\Gamma_1^{-1}\Gamma_2]/4$
- $\gamma_5^{-1} = \gamma_5$ ,  $(\gamma^\mu)^{-1} = \gamma_\mu$ , and  $\sigma^{\mu\nu} = \frac{1}{2}[\gamma^\mu, \gamma^\nu]$  with  $(\sigma^{\mu\nu})^{-1} = \sigma_{\mu\nu}$

thus

$$\Psi = \frac{1}{4} \{ \mathbf{1} \text{Tr} [\Psi] - i\gamma_5 \text{Tr} [i\gamma_5 \Psi] + \gamma_\mu \text{Tr} [\gamma^\mu \Psi] + \gamma_5 \gamma_\mu \text{Tr} [\gamma^\mu \gamma_5 \Psi] + i\gamma_5 \sigma_{\nu\mu} \text{Tr} [i\sigma^{\mu\nu} \gamma_5 \Psi] \}$$

$$= \frac{1}{2} \left\{ \mathbf{1} \Psi^{[1]} - i\gamma_5 \Psi^{[i\gamma_5]} + \gamma_\mu \Psi^{[\gamma^\mu]} + \gamma_5 \gamma_\mu \Psi^{[\gamma^\mu \gamma_5]} - i\gamma_5 \sigma_{\mu\nu} \Psi^{[i\sigma^{\mu\nu} \gamma_5]} \right\}$$

$$\Psi^{[\Gamma]} \equiv \frac{1}{2} \text{Tr} [\Psi \Gamma]$$

- for example:  $\Phi = \langle P, S | \bar{\psi}(0)\psi(0) | P, S \rangle$
- $$= \frac{1}{2} \{ M \cancel{g}_S + \cancel{g}_V \cancel{P} + M \cancel{g}_A \gamma_5 \cancel{S} + \cancel{g}_T \frac{1}{2} [\cancel{S}, \cancel{P}] \gamma_5 \}$$

scalar	$\Phi^{[1]} = \cancel{g}_S M$
pseudoscalar	$\Phi^{[i\gamma_5]} = 0$
vector	$\Phi^{[\gamma^\mu]} = \cancel{g}_V P^\mu$
axial	$\Phi^{[\gamma^\mu \gamma_5]} = \cancel{g}_A M S^\mu$
tensor	$\Phi^{[i\sigma^{\mu\nu} \gamma_5]} = \cancel{g}_T (S^\mu P^\nu - S^\nu P^\mu)$

$$\begin{aligned}
\Phi(x) = & \frac{1}{2} \left\{ \textcolor{red}{f}_1(x) \not{p}_+ + \lambda \textcolor{red}{g}_1(x) \gamma_5 \not{p}_+ + \textcolor{red}{h}_1(x) \gamma_5 \frac{1}{2} [\not{S}_\perp, \not{p}_+] \right\} \\
& + \frac{M}{2P^+} \left\{ \textcolor{red}{e}(x) + \textcolor{red}{g}_T(x) \gamma_5 \not{S}_\perp + \lambda \textcolor{red}{h}_L(x) \gamma_5 \frac{1}{2} [\not{p}_+, \not{p}_-] \right\} \\
& + \frac{M}{2P^+} \left\{ -\lambda \textcolor{red}{e}_L(x) i\gamma_5 - \textcolor{red}{f}_T(x) \varepsilon_T^{\rho\sigma} \gamma_\rho \not{S}_{\perp\sigma} + \textcolor{red}{h}(x) i\frac{1}{2} [\not{p}_+, \not{p}_-] \right\} \\
& + \frac{M^2}{2(P^+)^2} \left\{ \textcolor{red}{f}_3(x) \not{p}_- + \lambda \textcolor{red}{g}_3(x) \gamma_5 \not{p}_- + \textcolor{red}{h}_3(x) \gamma_5 \frac{1}{2} [\not{S}_\perp, \not{p}_-] \right\}
\end{aligned}$$

twist-2

$$\begin{aligned}
\Phi^{[\gamma^+]}(x) &= \textcolor{red}{f}_1(x) \\
\Phi^{[\gamma^+ \gamma_5]}(x) &= \lambda \textcolor{red}{g}_1(x) \\
\Phi^{[i\sigma^{i+} \gamma_5]}(x) &= S_\perp^i \textcolor{red}{h}_1(x)
\end{aligned}$$

$$\begin{aligned}
n_+^\mu &= [0, 1, \mathbf{0}_T] \\
n_-^\mu &= [1, 0, \mathbf{0}_T]
\end{aligned}$$

twist-3

$$\begin{aligned}
\Phi^{[1]}(x) &= \frac{M}{P^+} \textcolor{red}{e}(x) \\
\Phi^{[i\gamma_5]}(x) &= \frac{M}{P^+} \textcolor{red}{e}_L(x) \\
\Phi^{[\gamma^i]}(x) &= -\frac{M \varepsilon_T^{i\rho} S_{\perp\rho}}{P^+} \textcolor{red}{f}_T(x)
\end{aligned}$$

$$\Phi^{[\gamma^i \gamma_5]}(x) = \frac{MS_\perp^i}{P^+} \textcolor{red}{g}_T(x)$$

$$\begin{aligned}
\Phi^{[i\sigma^{i+} \gamma_5]}(x) &= \frac{M}{P^+} \lambda \textcolor{red}{h}_L(x) \\
\Phi^{[i\sigma^{ij} \gamma_5]}(x) &= \frac{M}{P^+} \varepsilon_T^{ij} \lambda \textcolor{red}{h}(x)
\end{aligned}$$

twist-4

$$\begin{aligned}
\Phi^{[\gamma^-]}(x) &= \textcolor{red}{f}_3(x) \\
\Phi^{[\gamma^- \gamma_5]}(x) &= \lambda \textcolor{red}{g}_3(x) \\
\Phi^{[i\sigma^{i-} \gamma_5]}(x) &= S_\perp^i \textcolor{red}{h}_3(x)
\end{aligned}$$

$$\begin{aligned}
\varepsilon_T^{\alpha\beta}: \varepsilon_T^{11} &= \varepsilon_T^{22} = -1 \\
\varepsilon_T^{12} &= -\varepsilon_T^{21} = 1
\end{aligned}$$

## parton distributions

with  $\lambda = 1, S_\perp^i = (1, 0)$

$$\begin{bmatrix} f_1(x) \\ g_1(x) \\ h_1(x) \end{bmatrix} = \begin{bmatrix} \Phi^{[\gamma^+]}(x) \\ \Phi^{[\gamma^+ \gamma_5]}(x) \\ \Phi^{[i\sigma^{i+} \gamma_5]}(x) \end{bmatrix} = \frac{1}{2} \int \frac{d\xi^-}{2\pi} e^{ixP^+ \xi^-} \langle PS | \bar{\psi}(0) \begin{bmatrix} \gamma^+ \\ \gamma^+ \gamma_5 \\ \gamma^+ \gamma^1 \gamma_5 \end{bmatrix} \psi(0, \xi^-, \mathbf{0}_T) | PS \rangle$$

- decompose into “good” and “bad” components:  $\psi = \psi_{(+)} + \psi_{(-)}$ ,  $\psi_{(\pm)} = \frac{1}{2} \gamma^\mp \gamma^\pm \psi$

$$\begin{bmatrix} f_1(x) \\ g_1(x) \\ h_1(x) \end{bmatrix} = \frac{1}{\sqrt{2}} \int \frac{d\xi^-}{2\pi} e^{ixP^+ \xi^-} \langle PS | \psi_{(+)}^\dagger(0) \begin{bmatrix} 1 \\ \gamma_5 \\ \gamma^1 \gamma_5 \end{bmatrix} \psi_{(+)}(0, \xi^-, \mathbf{0}_T) | PS \rangle$$

- define projectors  $\mathcal{P}_\pm = \frac{1}{2}(1 \pm \gamma^5)$  (for **helicity**) and  $\mathcal{P}_{\uparrow\downarrow} = \frac{1}{2}(1 \pm \gamma^1 \gamma^5)$  (for **transversity**)

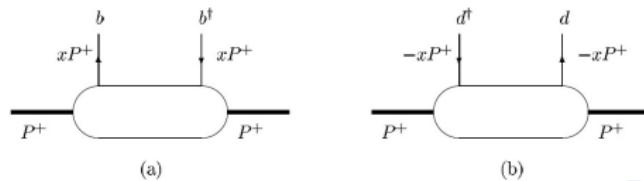
$$\begin{bmatrix} f_1(x) \\ g_1(x) \\ h_1(x) \end{bmatrix} = \frac{1}{\sqrt{2}} \sum_n \delta((1-x)P^+ - P_n^+) \begin{bmatrix} |\langle PS | \psi_{(+)}(0) | n \rangle|^2 \\ \left| \langle PS | \mathcal{P}_+ \psi_{(+)}(0) | n \rangle \right|^2 - \left| \langle PS | \mathcal{P}_- \psi_{(+)}(0) | n \rangle \right|^2 \\ \left| \langle PS | \mathcal{P}_\uparrow \psi_{(+)}(0) | n \rangle \right|^2 - \left| \langle PS | \mathcal{P}_\downarrow \psi_{(+)}(0) | n \rangle \right|^2 \end{bmatrix}$$

## parton distributions and Fock-state decomposition

$$\psi_{(+)}^q(z^-, \mathbf{z}_\perp) = \int \frac{dk^+ d\mathbf{k}_\perp}{2k^+(2\pi)^3} \Theta(k^+) \sum_\mu \left\{ b_q(\mathbf{w}) u_+(k, \mu) e^{-ik^+ z^- + i\mathbf{k}_\perp \cdot \mathbf{z}_\perp} + d_q^\dagger(\mathbf{w}) v_+(k, \mu) e^{+ik^+ z^- - i\mathbf{k}_\perp \cdot \mathbf{z}_\perp} \right\}$$

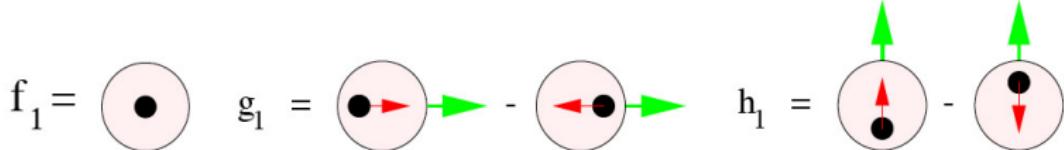
$$\begin{aligned} \int \frac{dy^-}{2\pi} e^{ixP^+ y^-} \bar{\psi}(-\tfrac{1}{2}y) \gamma^+ \psi(\tfrac{1}{2}y) &= 2\sqrt{2} \int \frac{dk'^+ d\mathbf{k}'_\perp}{2k'^+(2\pi)^3} \Theta(k'^+) \int \frac{dk^+ d\mathbf{k}_\perp}{2k^+(2\pi)^3} \Theta(k^+) \\ &\times \sum_{\mu, \mu'} \left\{ \delta(2xP^+ - k'^+ - k^+) b_q^\dagger(\mathbf{w}') b_q(\mathbf{w}) u_+^\dagger(k', \mu') u_+(k, \mu) \right. \\ &\quad + \delta(2xP^+ + k'^+ + k^+) d_q(\mathbf{w}') d_q^\dagger(\mathbf{w}) v_+^\dagger(k', \mu') v_+(k, \mu) \\ &\quad + \delta(2xP^+ + k'^+ - k^+) d_q(\mathbf{w}') b_q(\mathbf{w}) v_+^\dagger(k', \mu') u_+(k, \mu) \\ &\quad \left. + \delta(2xP^+ - k'^+ + k^+) b_q^\dagger(\mathbf{w}') d_q^\dagger(\mathbf{w}) u_+^\dagger(k', \mu') v_+(k, \mu) \right\} \end{aligned}$$

$$f_1^q(x) = \frac{1}{2(2\pi)^3} \int \frac{dk^+ d\mathbf{k}_\perp}{2k^+(2\pi)^3} \Theta(k^+) \sum_\mu \left\{ \delta\left(x - \frac{k^+}{P^+}\right) \langle P | b_q^\dagger(\mathbf{w}) b_q(\mathbf{w}) | P \rangle + \delta\left(x + \frac{k^+}{P^+}\right) \langle P | d_q(\mathbf{w}) d_q^\dagger(\mathbf{w}) | P \rangle \right\}$$



## parton distributions as probabilities

$$\begin{bmatrix} f_1(x) \\ g_1(x) \\ h_1(x) \end{bmatrix} = \frac{1}{\sqrt{2}} \sum_n \delta((1-x)P^+ - P_n^+) \begin{bmatrix} |\langle PS|\psi_{(+)}(0)|n\rangle|^2 \\ \left| \langle PS|\mathcal{P}_+\psi_{(+)}(0)|n\rangle \right|^2 - \left| \langle PS|\mathcal{P}_-\psi_{(+)}(0)|n\rangle \right|^2 \\ \left| \langle PS|\mathcal{P}_{\uparrow}\psi_{(+)}(0)|n\rangle \right|^2 - \left| \langle PS|\mathcal{P}_{\downarrow}\psi_{(+)}(0)|n\rangle \right|^2 \end{bmatrix}$$



$$|g_1^a(x)| \leq f_1^a(x), \quad |h_1^a(x)| \leq f_1^a(x)$$

- Soffer inequality:  $|h_1^a(x)| \leq \frac{1}{2}[f_1^a(x) + g_1^a(x)]$

## including antiquarks

$$\bar{\Phi}_{ij}(P, k, S|n) = \frac{1}{(2\pi)^4} \int d^4\xi e^{ik\cdot\xi} \langle PS|\psi_j(0)\bar{\psi}_i(\xi)|PS\rangle$$

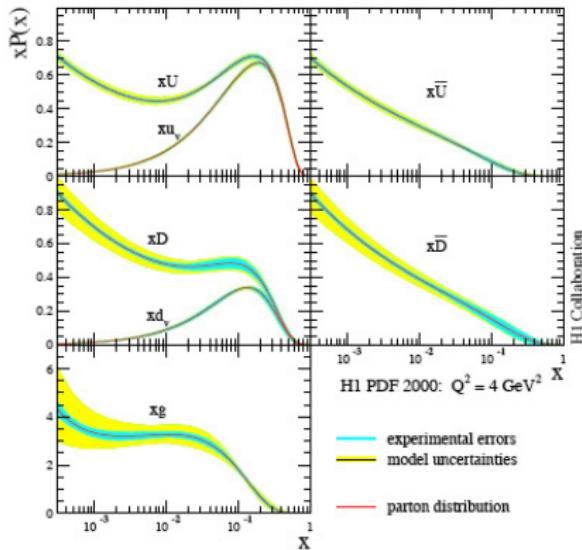
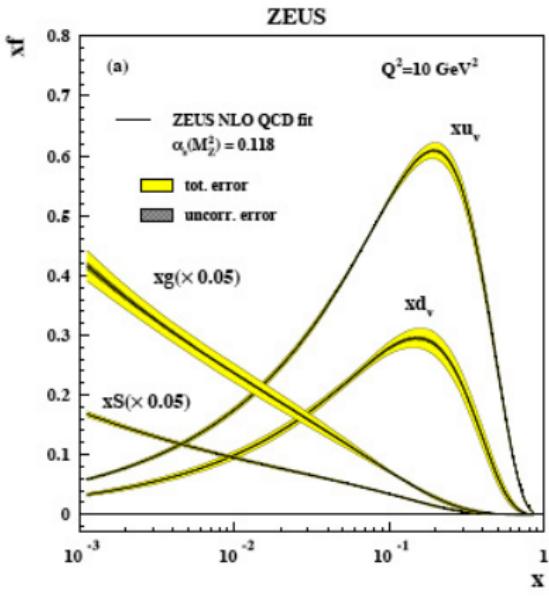
$$\langle PS|\bar{\psi}_j(0)\psi_i(\xi)|PS\rangle = -\langle PS|\psi_j(0)\bar{\psi}_i(\xi)|PS\rangle$$

$$\begin{aligned}\bar{f}_1(x) &= -f_1(-x) \\ \bar{g}_1(x) &= g_1(-x) \\ \bar{h}_1(x) &= h_1(-x)\end{aligned}$$

N.B.  $W_{\mu\nu}^{(S)} = \sum_a e_a^2 (n_\mu P^\nu + n_\nu P^\mu - g_{\mu\nu}) [f_1^a(x) + \bar{f}_1^a(x)]$

$$\Rightarrow F_2 = 2x F_1 = \sum_a e_a^2 x [f_1^a(x) + \bar{f}_1^a(x)] \quad \text{Callan-Gross}$$

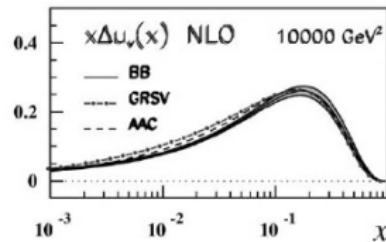
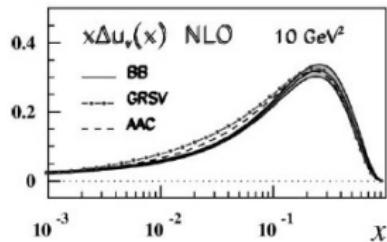
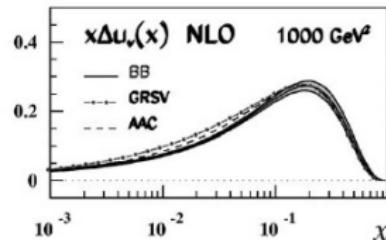
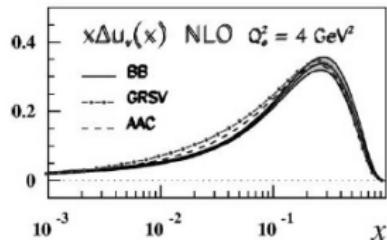
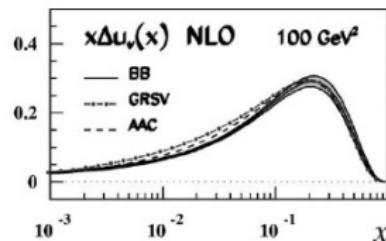
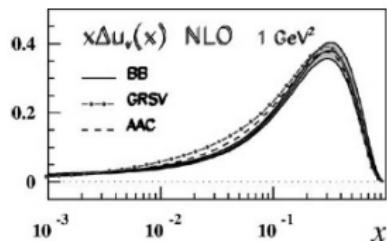
similarly:  $W_{\mu\nu}^{(A)} = \lambda \varepsilon_{\mu\nu\rho\sigma} n^\rho p^\sigma \frac{1}{2} \sum_a e_a^2 [g_1^a(x) + \bar{g}_1^a(x)]$



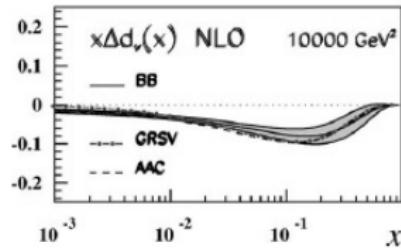
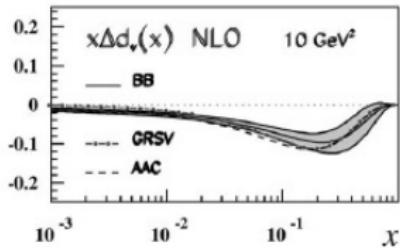
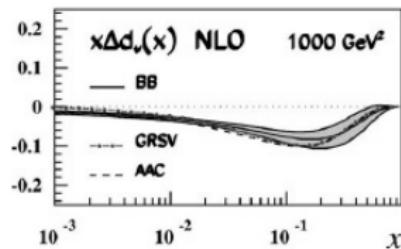
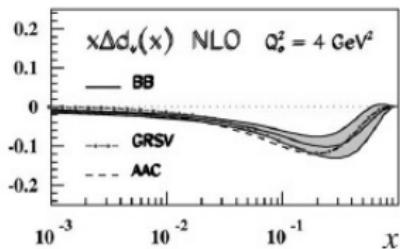
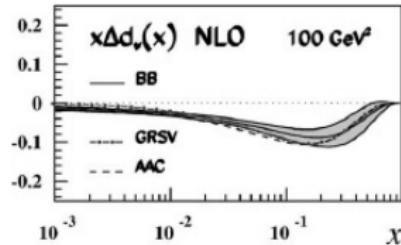
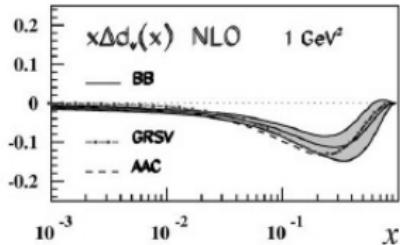
the gluon, sea,  $u$  and  $d$  valence distributions extracted from

the ZEUS NLO QCD fit at  $Q^2 = 10 \text{ GeV}^2$   
 Chekanov *et al.*, PR D 67 (2003) 012007

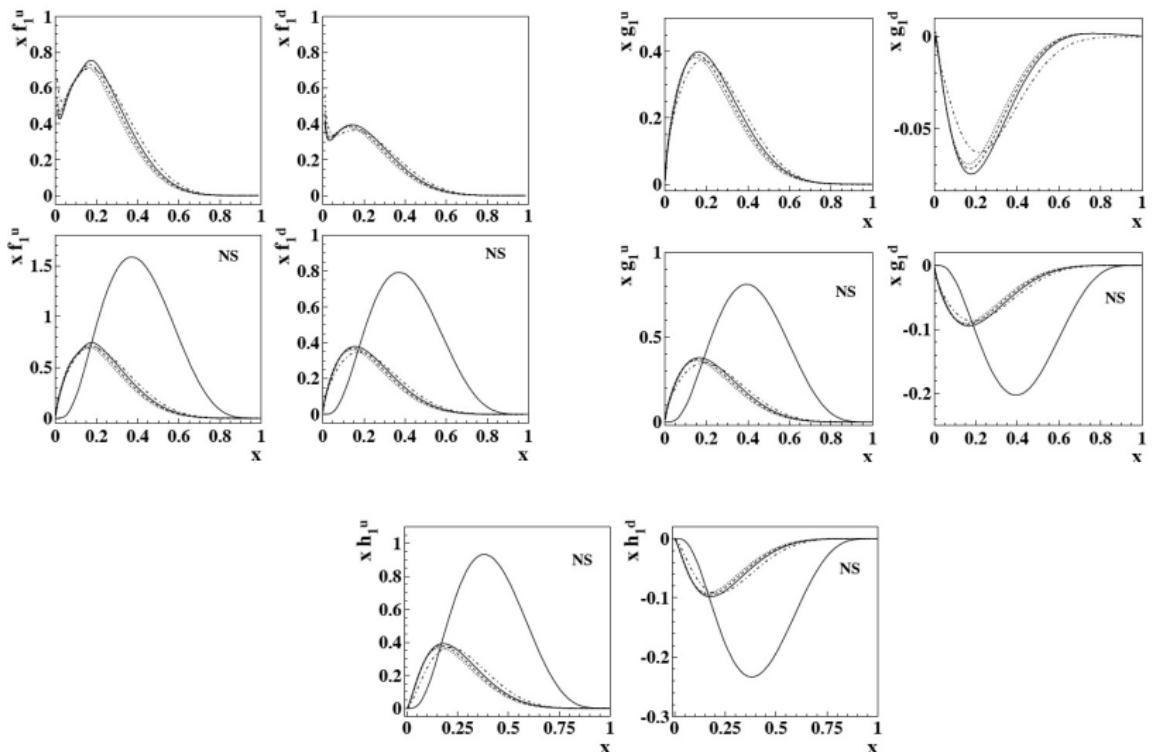
the H1 starting scale at  $Q^2 = 4 \text{ GeV}^2$   
 Adloff *et al.*, EPJ C 30 (2003) 1



the polarized helicity distributions  $x\Delta u_v$  evolved up to  $Q^2 = 10\,000 \text{ GeV}^2$  Blümlein, Böttcher, NP B 636 (2002) 225



the polarized helicity distributions  $x\Delta d_v$  evolved up to  $Q^2 = 10\,000 \text{ GeV}^2$  Blümlein, Böttcher, NP B 636 (2002) 225



## first moments of parton distributions

$$\int_{-1}^{+1} dx f_1(x) = \int_0^1 dx [f_1(x) - \bar{f}_1(x)] = g_V \quad \text{vector charge} = \text{valence number}$$

non – singlet

$$\int_{-1}^{+1} dx g_1(x) = \int_0^1 dx [g_1(x) + \bar{g}_1(x)] = g_A \quad \text{axial charge} = \text{net number}$$

singlet

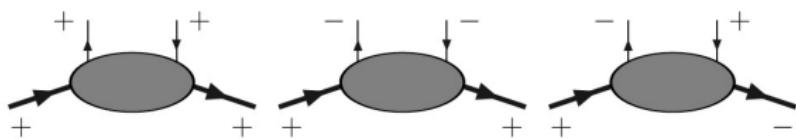
of L quarks in L proton

$$\int_{-1}^{+1} dx h_1(x) = \int_0^1 dx [h_1(x) - \bar{h}_1(x)] = g_T \quad \text{tensor charge} = \text{net number}$$

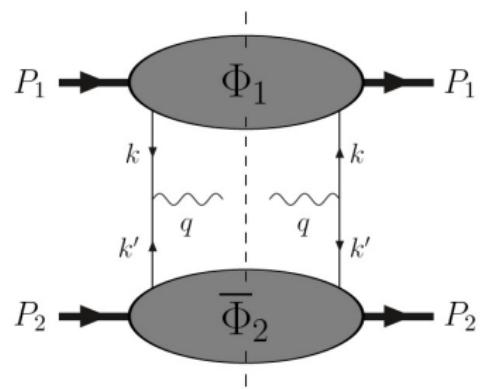
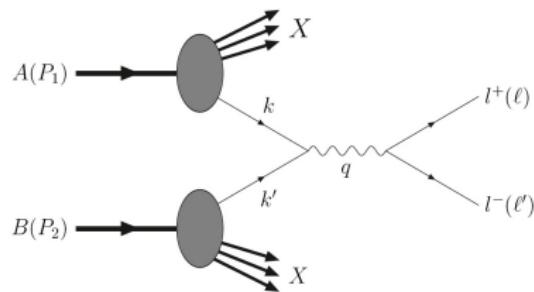
non – singlet

of T quarks in T proton

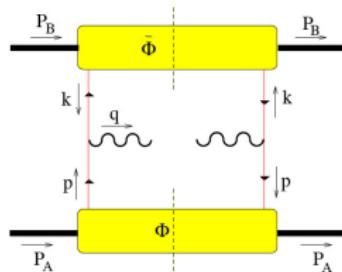
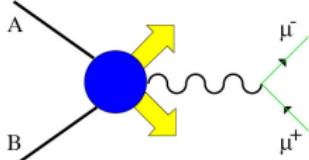
no access to transversity in inclusive DIS



possible access to transversity in Drell-Yan processes



## the Drell-Yan process with Born diagram



for unpolarized hadrons

$$\frac{d\sigma_{UU}(AB \rightarrow \mu^+ \mu^- X)}{dx_A dx_B dy} = \frac{4\pi\alpha^2}{3Q^2} \left( \frac{1}{2} - y + y^2 \right) f_1(x_A) \bar{f}_1(x_B)$$

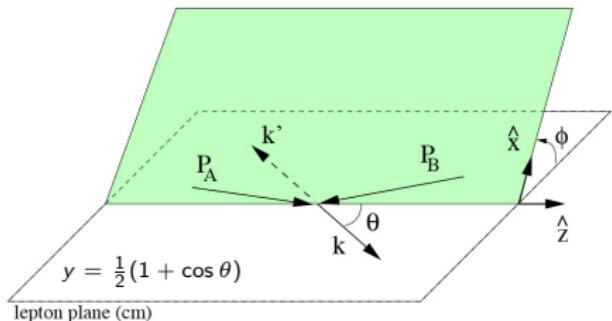
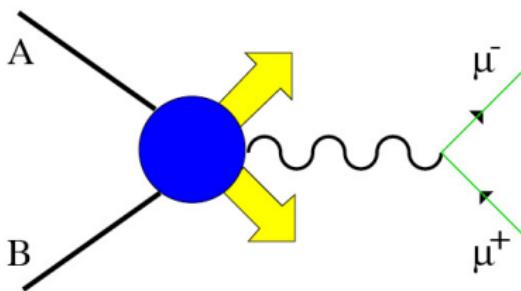
for longitudinally polarized hadrons

$$\frac{d\sigma_{LL}(\vec{A}\vec{B} \rightarrow \mu^+ \mu^- X)}{dx_A dx_B dy} = \frac{4\pi\alpha^2}{3Q^2} \left( \frac{1}{2} - y + y^2 \right) \lambda_A \lambda_B g_1(x_A) \bar{g}_1(x_B)$$

for transversely polarized hadrons

$$\frac{d\sigma_{TT}(\vec{A}\vec{B} \rightarrow \mu^+ \mu^- X)}{dx_A dx_B dy} = \frac{4\pi\alpha^2}{3Q^2} y (1-y) |S_{A\perp}| |S_{B\perp}| h_1(x_A) \bar{h}_1(x_B)$$

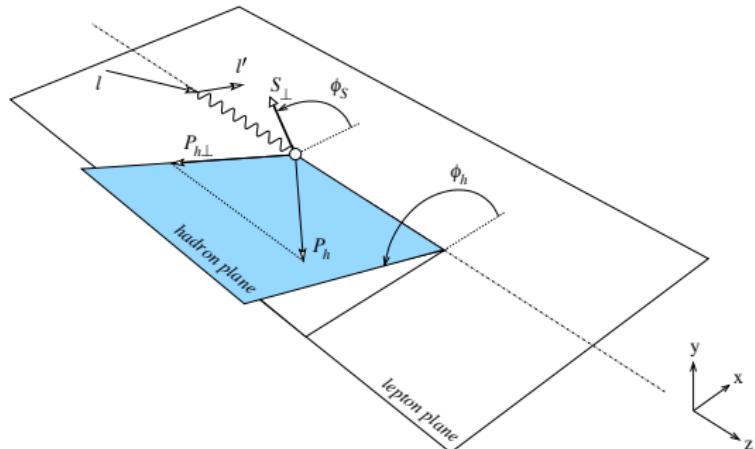
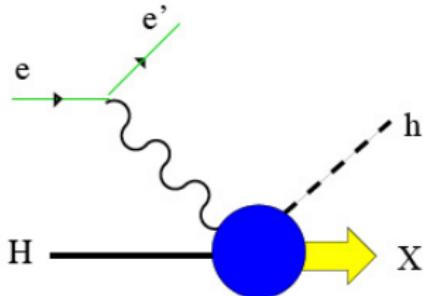
## transversity and the Drell-Yan dilepton production



double transverse-spin asymmetry

$$\begin{aligned}
 A_{TT}^{pp} &= \frac{d\sigma^{\uparrow\uparrow} - d\sigma^{\uparrow\downarrow}}{d\sigma^{\uparrow\uparrow} + d\sigma^{\uparrow\downarrow}} \\
 &= \frac{\sin^2 \theta}{1 + \cos^2 \theta} \cos(2\phi) \frac{\sum_a e_a^2 [h_1^a(x_1, Q^2) \bar{h}_1^a(x_2, Q^2) + (1 \leftrightarrow 2)]}{\sum_a e_a^2 [f_1^a(x_1, Q^2) \bar{f}_1^a(x_2, Q^2) + (1 \leftrightarrow 2)]}
 \end{aligned}$$

## semi-inclusive DIS (SIDIS)

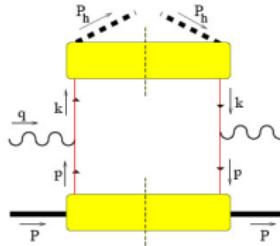


$$\frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = \frac{\alpha^2 y}{8z Q^4} \frac{1}{2M} L_{\mu\nu} W^{\mu\nu}$$

$$x = \frac{Q^2}{2 P \cdot q}, \quad y = \frac{P \cdot q}{P \cdot l}, \quad z = \frac{P \cdot P_h}{P \cdot q}, \quad z_h = -\frac{Q^2}{2 P_h \cdot q}, \quad \text{in DIS : } d\psi \approx d\phi_S$$

Trento convention, Bacchetta *et al.*, hep-ph/0410050

## the SIDIS hadron tensor



$$\mathcal{W}_{\mu\nu}(q; PS; P_h S_h)$$

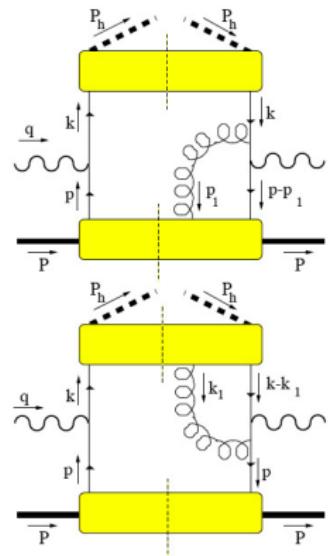
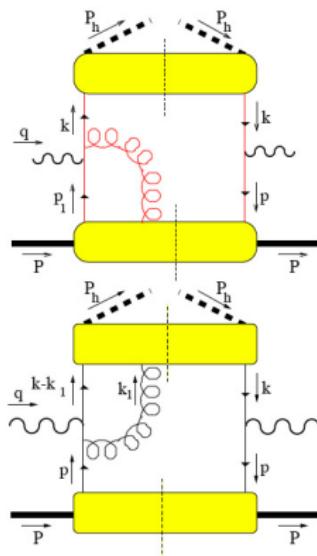
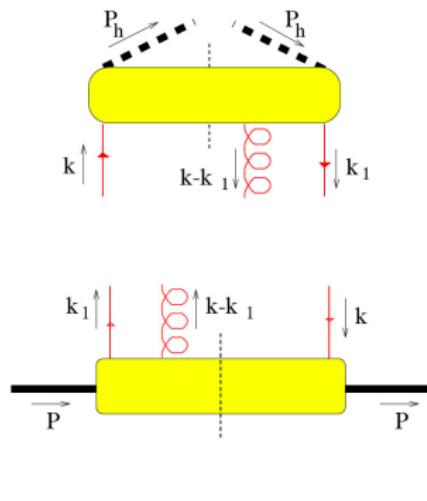
$$\begin{aligned} &= \frac{1}{(2\pi)^4} \int \frac{d^3 P_X}{(2\pi)^3 2 P_X^0} (2\pi)^4 \delta^4(q + P - P_X - P_h) \langle PS | J_\mu(0) | P_X; P_h S_h \rangle \langle P_X; P_h S_h | J_\mu(0) | PS \rangle \\ &= \sum_a e_a^2 \int \frac{d^3 P_X}{(2\pi)^3 2 P_X^0} (2\pi)^4 \delta^4(P - p - P_X) \int \frac{d^4 p}{(2\pi)^4} \delta(p + q - k) \int \frac{d^4 k}{(2\pi)^4} \delta^4(k - P_h - P_{X'}) \\ &\quad \times [\bar{\chi}(k; P_h, S_h) \gamma_\mu \phi(p; P, S)]^* [\bar{\chi}(k; P_h, S_h) \gamma_\nu \phi(p; P, S)] \\ &= \int d^4 p \int d^4 k \delta^4(p + q - k) \text{Tr} [\Phi(p; P, S) \gamma_\mu \Delta(k; P_h, S_h) \gamma_\nu] + \left\{ \begin{array}{l} q \leftrightarrow -q \\ \mu \leftrightarrow \nu \end{array} \right\} \end{aligned}$$

with  $\chi_i(k; P_h, S_h) = \langle 0 | \psi_i(0) | P_X; P_h S_h \rangle$ ,  $\phi_i(p; P, S) = \langle P_X | \psi_i(0) | PS \rangle$  and

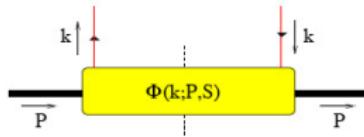
$$\begin{aligned} \Phi_{ij}(k; P, S) &= \frac{1}{(2\pi)^4} \int \frac{d^3 P_X}{(2\pi)^3 2 P_X^0} (2\pi)^4 \delta^4(P - p - P_X) \langle P, S | \bar{\psi}_j(0) | P_X \rangle \langle P_X | \psi_i(0) | P, S \rangle \\ &= \frac{1}{(2\pi)^4} \int d^4 \xi e^{ik \cdot \xi} \langle P, S | \bar{\psi}_j(0) \psi_i(\xi) | P, S \rangle, \end{aligned}$$

$$\begin{aligned} \Delta_{ij}(k; P_h, S_h) &= \frac{1}{(2\pi)^4} \int \frac{d^3 P_X}{(2\pi)^3 2 P_X^0} (2\pi)^4 \delta^4(P_h + P_X - k) \langle 0 | \psi_i(0) | P_X; P_h S_h \rangle \langle P_X; P_h S_h | \bar{\psi}_j(0) | 0 \rangle \\ &= \sum_X \frac{1}{(2\pi)^4} \int d^4 \xi e^{ik \cdot \xi} \langle 0 | \psi_i(\xi) | X; P_h S_h \rangle \langle X; P_h S_h | \bar{\psi}_j(0) | 0 \rangle \end{aligned}$$

including gluons



## $k_T$ -dependent correlation functions



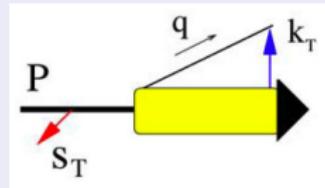
$$\begin{aligned}
 \Phi(x, k_T) &= \int dk^- \Phi(k; P, S) \Big|_{k^+ = xP^+, k_T} \\
 &= \frac{1}{2} \left\{ \cancel{f_1} \not{p}_+ - \cancel{f_{1T}}^\perp \frac{\epsilon_T^{\rho\sigma} k_{T\rho} S_{T\sigma}}{M} \not{p}_+ + \cancel{g_{1s}} \gamma_5 \not{p}_+ \right. \\
 &\quad + \cancel{h_{1T}} \frac{[\cancel{S}_T, \not{p}_+] \gamma_5}{2} + \cancel{h_{1s}^\perp} \frac{[\cancel{k}_T, \not{p}_+] \gamma_5}{2M} + \cancel{h_1^\perp i} \frac{[\cancel{k}_T, \not{p}_+]}{2M} \Big\} \\
 &\quad + \frac{M}{2P^+} \left\{ \cancel{e} - \cancel{e_s} i \gamma_5 - \cancel{e_T^\perp} \frac{\epsilon_T^{\rho\sigma} k_{T\rho} S_{T\sigma}}{M} \right. \\
 &\quad + \cancel{f}^\perp \frac{\cancel{k}_T}{M} - \cancel{f'_T} \epsilon_T^{\rho\sigma} \gamma_\rho S_{T\sigma} - \cancel{f_s^\perp} \frac{\epsilon_T^{\rho\sigma} \gamma_\rho k_{T\sigma}}{M} \\
 &\quad + \cancel{g'_T} \gamma_5 \cancel{S}_T + \cancel{g_s^\perp} \gamma_5 \frac{\cancel{k}_T}{M} - \cancel{g}^\perp \gamma_5 \frac{\epsilon_T^{\rho\sigma} \gamma_\rho k_{T\sigma}}{M} \\
 &\quad \left. + \cancel{h_s} \frac{[\not{p}_+, \not{p}_-] \gamma_5}{2} + \cancel{h_T^\perp} \frac{[\cancel{S}_T, \cancel{k}_T] \gamma_5}{2M} + \cancel{h} i \frac{[\not{p}_+, \not{p}_-]}{2} \right\}
 \end{aligned}$$

N.B. subscript  $s$ , e.g.:  $\cancel{g_{1s}}(x, k_T^2) = S_L \cancel{g_{1L}}(x, k_T^2) - \frac{k_T \cdot S_T}{M} \cancel{g_{1T}}(x, k_T^2)$

N.B. e.g.:  $f_1(x) = \int d^2 k_T f_1(x, k_T^2)$

correlation between target transverse polarization and quark transverse momentum

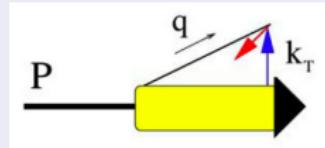
Sivers function:  $f_{1T}^\perp$



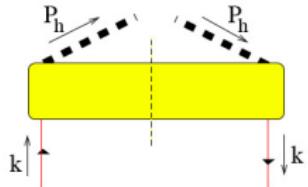
N.B.  $f_{1T}^\perp(x, k_T^2)|_{SIDIS} = -f_{1T}^\perp(x, k_T^2)|_{DY}$

correlation between quark transverse spin and momentum

Boer-Mulders function:  $h_1^\perp$



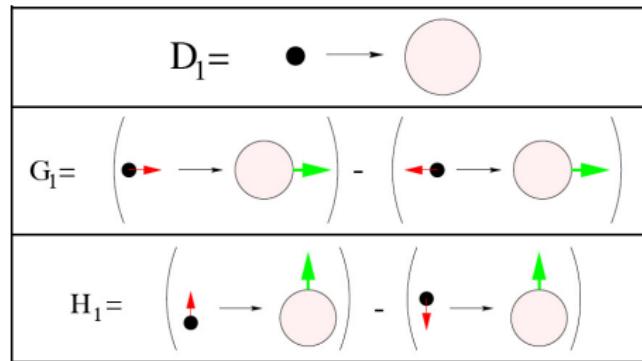
## quark fragmentation function



$$\begin{aligned}
 \Delta_{kl}(k; P_h, S_h) &= \sum_X \frac{1}{(2\pi)^4} \int d^4\xi e^{ik\cdot\xi} \langle 0 | \psi_k(\xi) | X; P_h S_h \rangle \langle X; P_h S_h | \bar{\psi}_l(0) | 0 \rangle \\
 &= \frac{1}{2} \{ \mathcal{S} 1 + \mathcal{P} i\gamma_5 + \mathcal{V}_\mu \gamma^\mu + \mathcal{A}_\mu \gamma^\mu \gamma_5 + \mathcal{T}_{\mu\nu} i\frac{1}{2} \sigma^{\mu\nu} \gamma_5 \} \\
 \Delta_{ij}(z, k_T) &= \frac{1}{2z} \int \cancel{dk^+} \Delta_{ij}(k; P_h, S_h) \Big|_{k^- = P_h^- / z, k_T} \\
 &= \frac{1}{2z} \sum_X \int \frac{d\xi^+ d^2\boldsymbol{\xi}_T}{(2\pi)^3} e^{ik\cdot\xi} \langle 0 | \psi_i(\xi) | h, X \rangle \langle h, X | \bar{\psi}_j(0) | 0 \rangle \Big|_{\xi^- = 0} \\
 \Delta(z) &= z^2 \int \cancel{d^2\mathbf{k}_T} \Delta(z, k_T)
 \end{aligned}$$

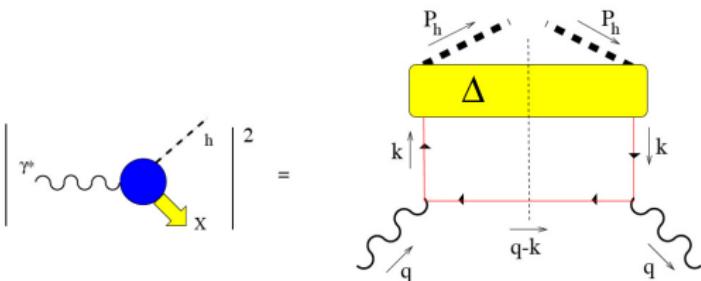
$z^2$  because probability density w.r.t.  $k'_T = -zk_T$

$$\begin{aligned}
\Delta(z) &= z^2 \int d^2 \mathbf{k}_T \Delta(z, k_T) = \frac{z}{2} \int dk^+ d\mathbf{k}_T \Delta(k; P_h, S_h) \\
&= \frac{1}{4} \left\{ D_1(z) \not{p}_- - \lambda_h G_1(z) \not{p}_- \gamma_5 + H_1(z) \frac{1}{2} [\not{S}_{hT}, \not{p}_-] \gamma_5 \right\} \\
&\quad + \frac{M_h}{4P_h^-} \left\{ D_T(z) \varepsilon_T^{\rho\sigma} \gamma_\rho S_{hT\sigma} + E(z) - \lambda_h E_L(z) i\gamma_5 \right. \\
&\quad \left. - G_T(z) \not{S}_{hT} \gamma_5 + \lambda_h H_L(z) \frac{1}{2} [\not{p}_-, \not{p}_+] \gamma_5 + iH(z) \frac{1}{2} [\not{p}_-, \not{p}_+] \right\}
\end{aligned}$$



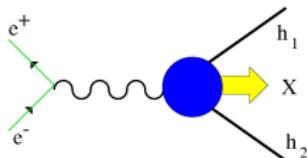
## quark fragmentation function

- one-hadron inclusive  $e^+e^-$  annihilation



$$\frac{d\sigma(e^+e^- \rightarrow hX)}{d\Omega dz_h} \sim \sum_a e_a^2 D_1^a(z_h)$$

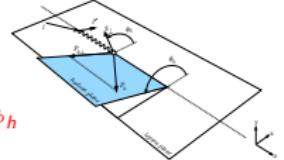
- two-hadron inclusive  $e^+e^-$  annihilation



$$\frac{d\sigma(e^+e^- \rightarrow h_1 h_2 X)}{d\Omega dz_1 dz_2} \sim \sum_a e_a^2 D_1^a(z_1) D_{\bar{1}}^{\bar{a}}(z_2)$$

$$\frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} =$$

SIDIS



$$\frac{\alpha^2}{xy Q^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} \right.$$

$$+ \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h}$$

$$+ S_{\parallel} \left[ \sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_h F_{UL}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right]$$

$$+ S_{\parallel} \lambda_e \left[ \sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_h F_{LL}^{\cos \phi_h} \right]$$

$$+ |S_{\perp}| \left[ \sin(\phi_h - \phi_S) (F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)}) \right.$$

$$+ \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)}$$

$$\left. + \sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_S F_{UT}^{\sin \phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right]$$

$$+ |S_{\perp}| \lambda_e \left[ \sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_S F_{LT}^{\cos \phi_S} \right.$$

$$\left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \}$$

N.B.  $F \equiv F(x, Q^2, z, P_{h\perp}^2)$

Bacchetta *et al.*, hep-ph/0611265

introduce the unit vector  $\hat{\mathbf{h}} = \mathbf{P}_{h\perp} / |\mathbf{P}_{h\perp}|$  and the notation

$$\mathcal{C}[wfD] = x \sum_a e_a^2 \int d^2 \mathbf{p}_T d^2 \mathbf{k}_T \delta^{(2)}(\mathbf{p}_T - \mathbf{k}_T - \mathbf{P}_{h\perp}/z) w(\mathbf{p}_T, \mathbf{k}_T) f^a(x, p_T^2) D^a(z, k_T^2),$$

$$F_{UU,T} = \mathcal{C}[f_1 D_1],$$

$$F_{UU,L} = 0,$$

$$\begin{aligned} F_{UU}^{\cos \phi_h} &= \frac{2M}{Q} \mathcal{C} \left[ -\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} \left( x h H_1^\perp + \frac{M_h}{M} f_1 \frac{\tilde{D}^\perp}{z} \right) - \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} \left( x f^\perp D_1 + \frac{M_h}{M} h_1^\perp \frac{\tilde{H}}{z} \right) \right] \\ &\approx \frac{2M}{Q} \mathcal{C} \left[ -\frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} f_1 D_1 \right], \quad \text{Cahn effect} \end{aligned}$$

$$F_{UU}^{\cos 2\phi_h} = \mathcal{C} \left[ -\frac{2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T) (\hat{\mathbf{h}} \cdot \mathbf{p}_T) - \mathbf{k}_T \cdot \mathbf{p}_T}{MM_h} h_1^\perp H_1^\perp \right], \quad \text{Boer-Mulders and Collins functions}$$

$$[\lambda_e] \quad F_{LU}^{\sin \phi_h} = \frac{2M}{Q} \mathcal{C} \left[ -\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} \left( x e H_1^\perp + \frac{M_h}{M} f_1 \frac{\tilde{G}^\perp}{z} \right) + \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} \left( x g^\perp D_1 + \frac{M_h}{M} h_1^\perp \frac{\tilde{E}}{z} \right) \right],$$

$$[S_{\parallel}] \quad F_{UL}^{\sin \phi_h} = \frac{2M}{Q} \mathcal{C} \left[ -\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} \left( x h_L H_1^\perp + \frac{M_h}{M} g_{1L} \frac{\tilde{G}^\perp}{z} \right) + \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} \left( x f_L^\perp D_1 - \frac{M_h}{M} h_{1L}^\perp \frac{\tilde{H}}{z} \right) \right],$$

$$[S_{\parallel}] \quad F_{UL}^{\sin 2\phi_h} = \mathcal{C} \left[ -\frac{2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T) (\hat{\mathbf{h}} \cdot \mathbf{p}_T) - \mathbf{k}_T \cdot \mathbf{p}_T}{MM_h} h_{1L}^\perp H_1^\perp \right],$$

$$[S_{\parallel} \lambda_e] \quad F_{LL} = \mathcal{C}[g_{1L} D_1],$$

$$[S_{\parallel} \lambda_e] \quad F_{LL}^{\cos \phi_h} = \frac{2M}{Q} \mathcal{C} \left[ \frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} \left( x e_L H_1^\perp - \frac{M_h}{M} g_{1L} \frac{\tilde{D}^\perp}{z} \right) - \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} \left( x g_L^\perp D_1 + \frac{M_h}{M} h_{1L}^\perp \frac{\tilde{E}}{z} \right) \right],$$

$$[|S_{\perp}|] \quad F_{UT,T}^{\sin(\phi_h - \phi_S)} = \mathcal{C} \left[ - \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} f_{1T}^\perp D_1 \right], \quad \text{Sivers function}$$

$$[|S_{\perp}|] \quad F_{UT,L}^{\sin(\phi_h - \phi_S)} = 0,$$

$$[|S_{\perp}|] \quad F_{UT}^{\sin(\phi_h + \phi_S)} = \mathcal{C} \left[ - \frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} h_1 H_1^\perp \right], \quad \text{transversity and Collins function}$$

$$[|S_{\perp}|] \quad F_{UT}^{\sin(3\phi_h - \phi_S)} = \mathcal{C} \left[ \frac{2 (\hat{\mathbf{h}} \cdot \mathbf{p}_T) (\mathbf{p}_T \cdot \mathbf{k}_T) + \mathbf{p}_T^2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T) - 4 (\hat{\mathbf{h}} \cdot \mathbf{p}_T)^2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T)}{2M^2 M_h} h_{1T}^\perp H_1^\perp \right],$$

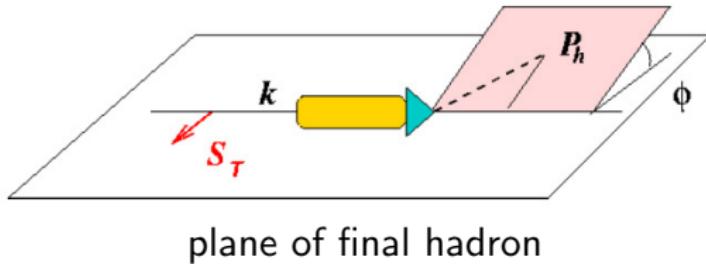
$$\begin{aligned} [|S_{\perp}|] \quad F_{UT}^{\sin \phi_S} &= \frac{2M}{Q} \mathcal{C} \left\{ \left( x f_T D_1 - \frac{M_h}{M} h_1 \frac{\tilde{H}}{z} \right) \right. \\ &\quad \left. - \frac{\mathbf{k}_T \cdot \mathbf{p}_T}{2MM_h} \left[ \left( x h_T H_1^\perp + \frac{M_h}{M} g_{1T} \frac{\tilde{G}^\perp}{z} \right) - \left( x h_{1T}^\perp H_1^\perp - \frac{M_h}{M} f_{1T}^\perp \frac{\tilde{D}^\perp}{z} \right) \right] \right\}, \end{aligned}$$

$$\begin{aligned} [|S_{\perp}|] \quad F_{UT}^{\sin(2\phi_h - \phi_S)} &= \frac{2M}{Q} \mathcal{C} \left\{ \frac{2 (\hat{\mathbf{h}} \cdot \mathbf{p}_T)^2 - \mathbf{p}_T^2}{2M^2} \left( x f_T^\perp D_1 - \frac{M_h}{M} h_{1T}^\perp \frac{\tilde{H}}{z} \right) \right. \\ &\quad \left. - \frac{2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T) (\hat{\mathbf{h}} \cdot \mathbf{p}_T) - \mathbf{k}_T \cdot \mathbf{p}_T}{2MM_h} \left[ \left( x h_T H_1^\perp + \frac{M_h}{M} g_{1T} \frac{\tilde{G}^\perp}{z} \right) + \left( x h_{1T}^\perp H_1^\perp - \frac{M_h}{M} f_{1T}^\perp \frac{\tilde{D}^\perp}{z} \right) \right] \right\}, \end{aligned}$$

$$[\mathcal{S}_\perp | \lambda_e] \quad F_{LT}^{\cos(\phi_h - \phi_S)} = \mathcal{C} \left[ \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} g_{1T} D_1 \right],$$

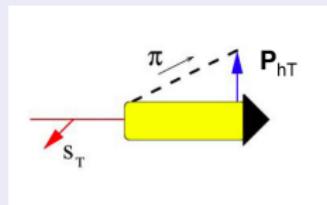
$$\begin{aligned} [\mathcal{S}_\perp | \lambda_e] \quad F_{LT}^{\cos \phi_S} &= \frac{2M}{Q} \mathcal{C} \left\{ - \left( x g_T D_1 + \frac{M_h}{M} h_1 \frac{\tilde{E}}{z} \right) \right. \\ &\quad \left. + \frac{\mathbf{k}_T \cdot \mathbf{p}_T}{2MM_h} \left[ \left( x e_T H_1^\perp - \frac{M_h}{M} g_{1T} \frac{\tilde{D}^\perp}{z} \right) + \left( x e_T^\perp H_1^\perp + \frac{M_h}{M} f_{1T}^\perp \frac{\tilde{G}^\perp}{z} \right) \right] \right\}, \end{aligned}$$

$$\begin{aligned} [\mathcal{S}_\perp | \lambda_e] \quad F_{LT}^{\cos(2\phi_h - \phi_S)} &= \frac{2M}{Q} \mathcal{C} \left\{ - \frac{2(\hat{\mathbf{h}} \cdot \mathbf{p}_T)^2 - \mathbf{p}_T^2}{2M^2} \left( x g_T^\perp D_1 + \frac{M_h}{M} h_{1T}^\perp \frac{\tilde{E}}{z} \right) \right. \\ &\quad + \frac{2(\hat{\mathbf{h}} \cdot \mathbf{k}_T)(\hat{\mathbf{h}} \cdot \mathbf{p}_T) - \mathbf{k}_T \cdot \mathbf{p}_T}{2MM_h} \left[ \left( x e_T H_1^\perp - \frac{M_h}{M} g_{1T} \frac{\tilde{D}^\perp}{z} \right) \right. \\ &\quad \left. \left. - \left( x e_T^\perp H_1^\perp + \frac{M_h}{M} f_{1T}^\perp \frac{\tilde{G}^\perp}{z} \right) \right] \right\} \end{aligned}$$



correlation between hadron transverse polarization and quark transverse momentum

Collins function:  $H_1^\perp$

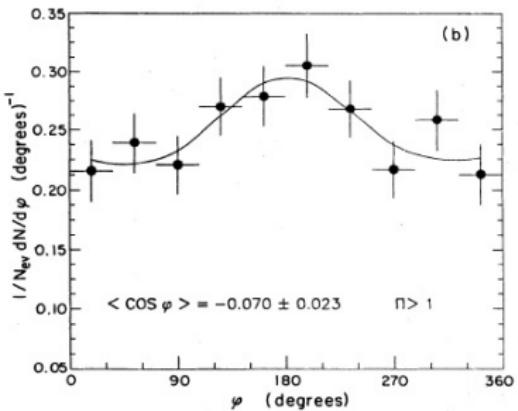
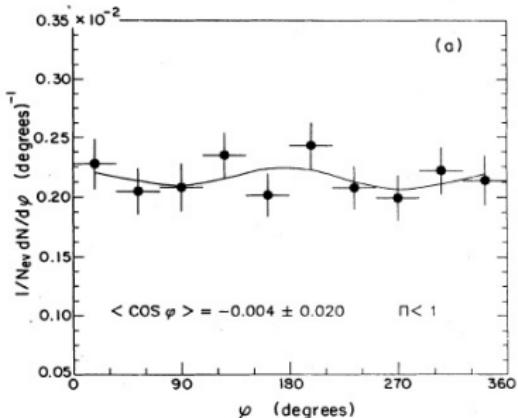


Cahn effect:  
 $\cos \phi$  modulation of SIDIS  
 unpolarized cross section

normalized  $\phi$  distributions of hadrons  
 about the virtual photon direction

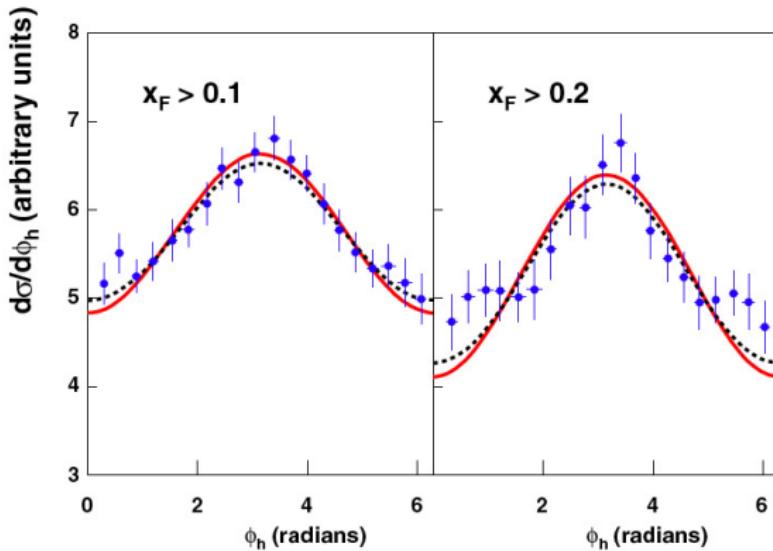
$$\frac{1}{N_{\text{ev}}} \frac{dN}{d\phi} = A + B \cos \phi + C \cos 2\phi + D \sin \phi$$

- (a)  $\Pi < 1.0$
- (b)  $\Pi > 1.0$



Cahn effect:  $\cos \phi$  modulation of the SIDIS unpolarized cross section  
in charged hadron production

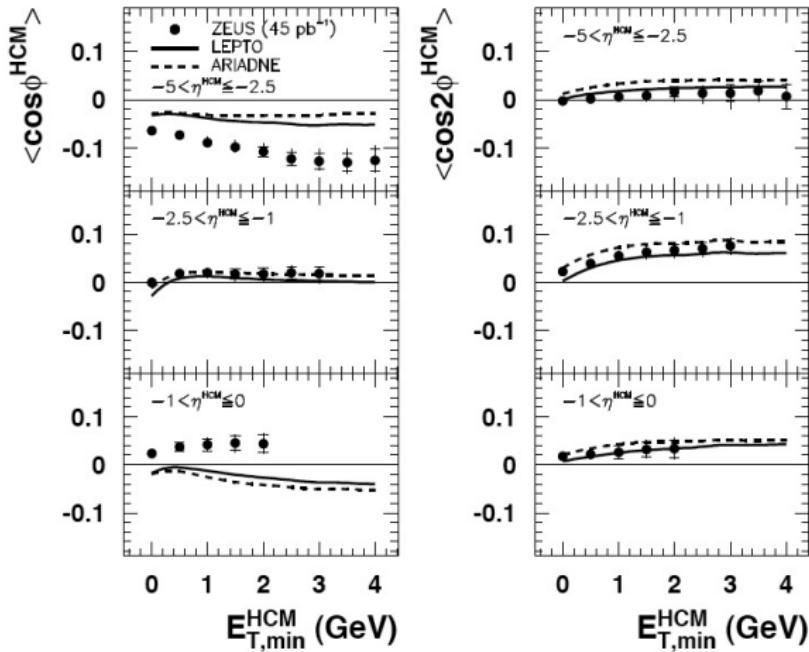
$$x_F = \frac{2P_{hL}}{\sqrt{(P+q)^2}}$$



dashed line with exact kinematics, solid line includes terms up to  $\mathcal{O}(k_\perp/Q)$   
evidence for  $\cos 2\phi_h$  at small values of  $\phi_h$

azimuthal asymmetries in SIDIS  $ep \rightarrow e'hX \Rightarrow F_{UU}^{\cos\phi_h}, F_{UU}^{\cos 2\phi_h}$

**ZEUS**



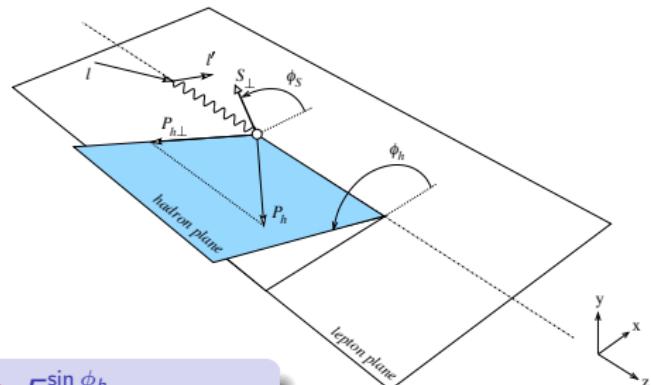
$$\text{pseudorapidity: } \eta = -\ln \left( \tan \frac{1}{2} \theta \right)$$

$$100 < Q^2 < 8000 \text{ GeV}^2, \quad 0.01 < x < 0.1$$

Chekanov et al., hep-ex/0608053

## single-spin asymmetries (SSA) in SIDIS

$I + p \rightarrow I' + h + X$



longitudinal SSA: beam-spin asymmetry  $\Rightarrow F_{LU}^{\sin \phi_h}$

$$A(\phi_h) = \frac{d\sigma^\rightarrow(\phi_h) - d\sigma^\leftarrow(\phi_h)}{d\sigma^\rightarrow(\phi_h) + d\sigma^\leftarrow(\phi_h)}$$

longitudinal SSA: target-spin asymmetry  $\Rightarrow F_{UL}^{\sin \phi_h}$

$$A(\phi_h) = \frac{d\sigma^{\Rightarrow}(\phi_h) - d\sigma^{\Leftarrow}(\phi_h)}{d\sigma^{\Rightarrow}(\phi_h) + d\sigma^{\Leftarrow}(\phi_h)}$$

SSA for transverse target polarization  $\Rightarrow F_{UT}^{\sin(\phi_h \pm \phi_S)}$

$$A(\phi_h, \phi_S) = \frac{d\sigma(\phi_h, \phi_S) - d\sigma(\phi_h, \phi_S + \pi)}{d\sigma(\phi_h, \phi_S) + d\sigma(\phi_h, \phi_S + \pi)}.$$

## CLAS beam-spin asymmetry in $ep \rightarrow e' \pi^+ X$ at 4.3 GeV

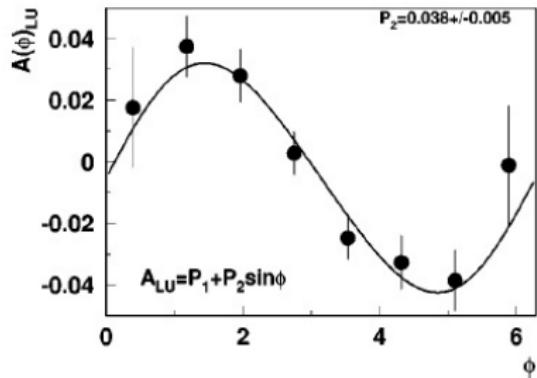


FIG. 3. The beam-spin azimuthal asymmetry as a function of azimuthal angle  $\phi$ , measured in the range  $z=0.5-0.8$ .

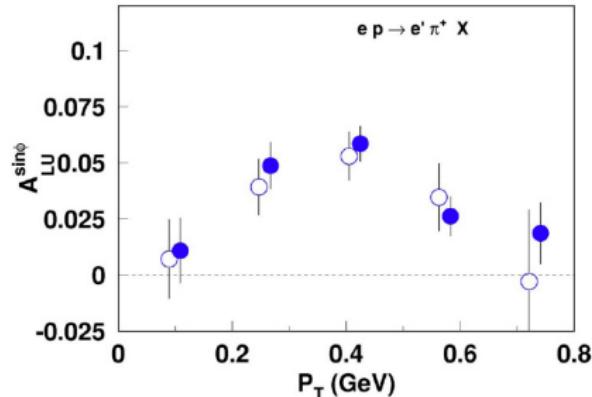
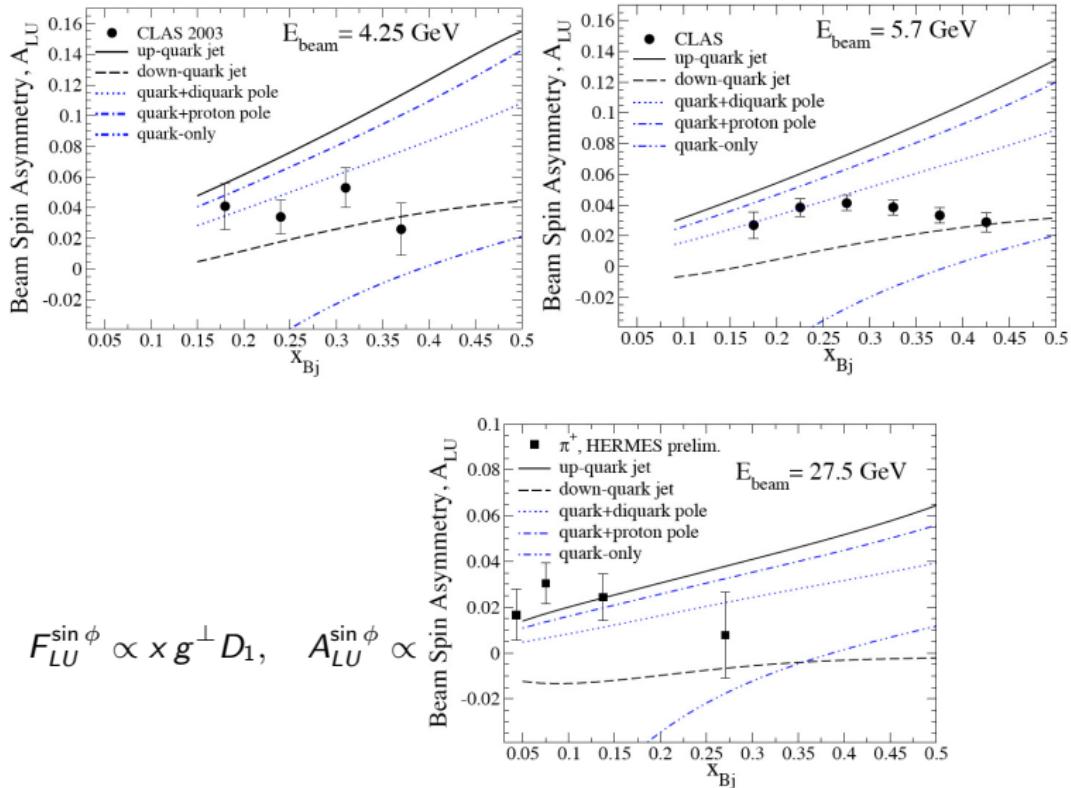


FIG. 7. Beam SSA as a function of  $P_\perp$  for  $M_X > 1.1$  GeV (filled circles) and  $M_X > 1.4$  GeV (open circles).

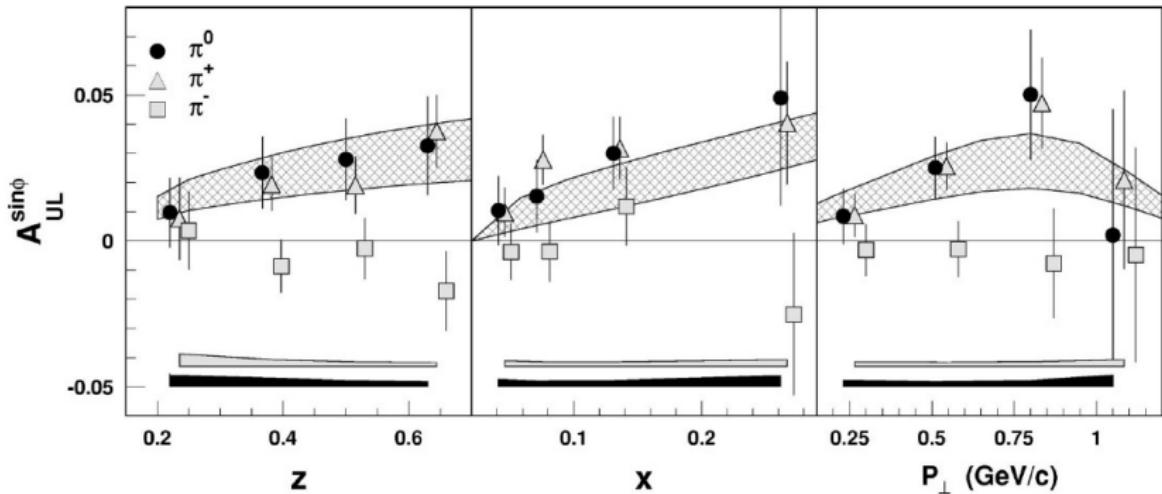
Avakian et al., P.R. D 69 (2004) 112004 + P.R.L. 84 (2000) 4047

## beam-spin asymmetry in $ep \rightarrow e'\pi^+ X$



# HERMES single-spin azimuthal asymmetry in $e\vec{p} \rightarrow e'\pi^{\pm,0} X$

assuming  $F_{UL}^{\sin 2\phi_h} \approx 0$ ,  $F_{UL}^{\sin \phi_h} \propto h_L H_1^\perp$ ,  $h_L \approx h_1$



range of predictions between  $h_1 = g_1$  (non-relativistic limit) and  $h_1 = \frac{1}{2}(f_1 + g_1)$  (Soffer limit)

Airapetian *et al.*, P.R. D 64 (2001) 097101

# HERMES SSA on transversely polarized proton

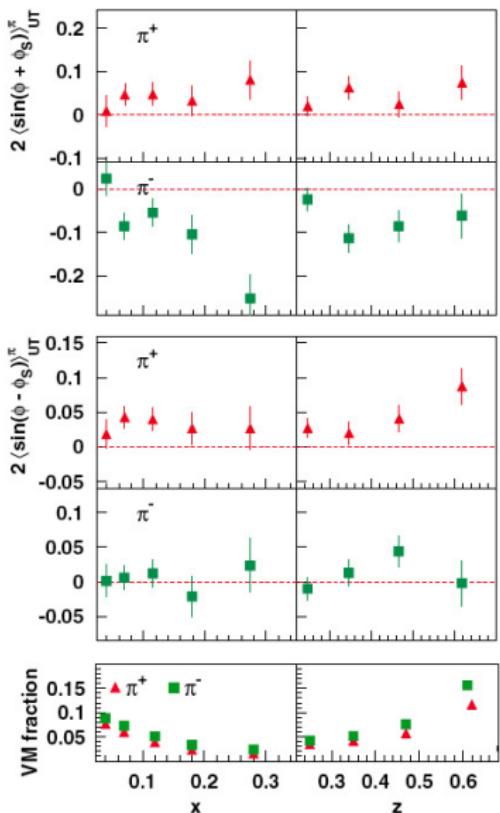
Collins azimuthal moment

$$F_{UT}^{\sin(\phi_h + \phi_S)} \propto h_1 H_1^\perp$$

Sivers azimuthal moment

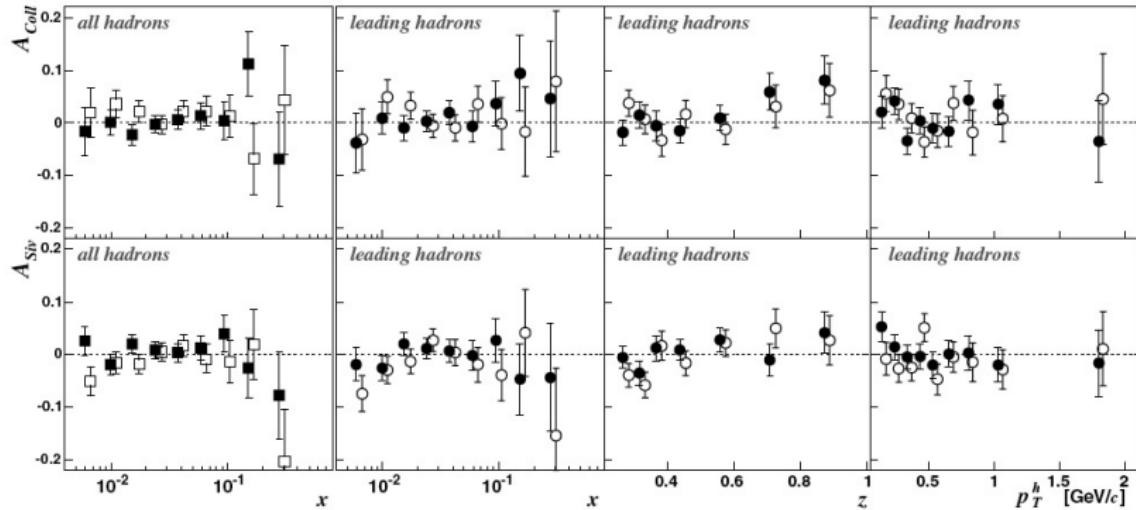
$$F_{UT,T}^{\sin(\phi_h - \phi_S)} \propto f_{1T}^\perp D_1$$

exclusive vector meson ( $\rho^0$ ) production

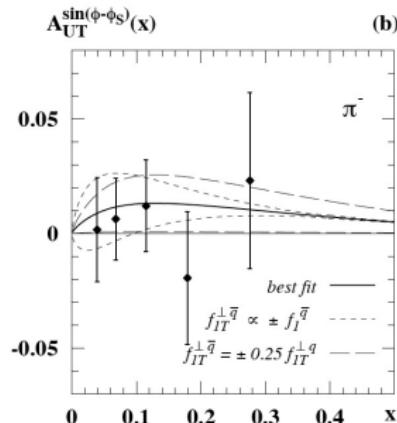
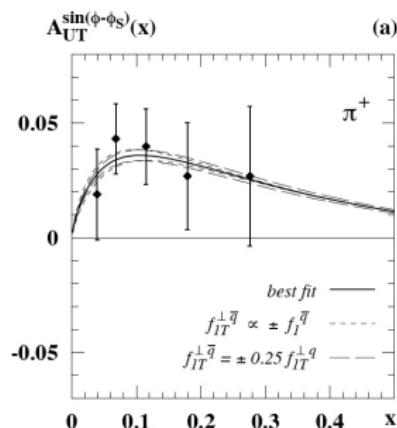


Airapetian et al. (Hermes), P.R.L. 94 (2005) 012002

# COMPASS charged hadron single-spin asymmetries in SIDIS of high-energy muons on transversely polarized ${}^6\text{LiD}$

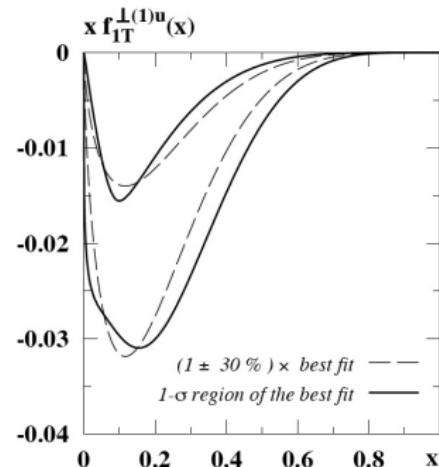


Alexakhin *et al.*, P.R.L. 94 (2005) 202002



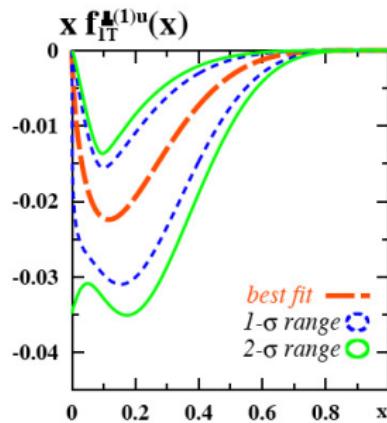
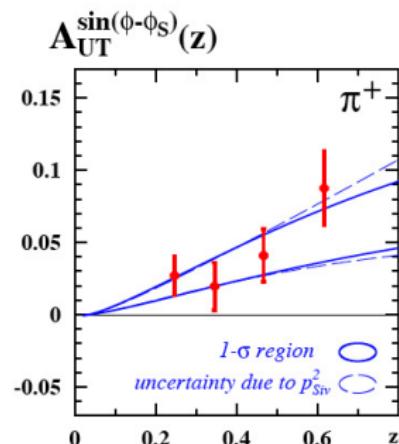
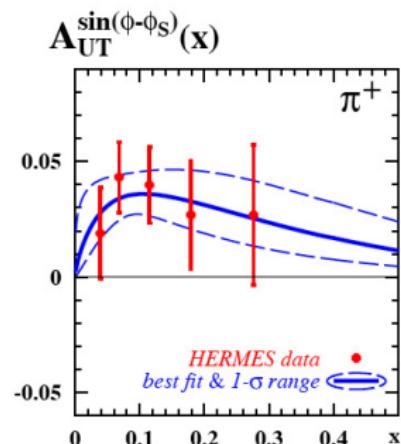
Sivers function from HERMES data  
with Gaussian ansatz for transverse momenta

$$A_{UT}^{\sin(\phi-\phi_S)} \propto \frac{\sum_a e_a^2 \times f_{1T}^{\perp a}(x) D_1^a(z)}{\sum_a e_a^2 \times f_1^a(x) D_1^a(z)}$$



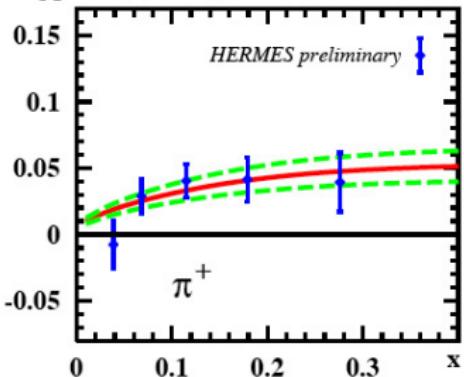
$$f_{1T}^{\perp u}(x, k_T^2) = -f_{1T}^{\perp d}(x, k_T^2) \quad \text{modulo } 1/N_c \text{ corrections}$$

Collins et al., P.R. D 73 (2006) 014021



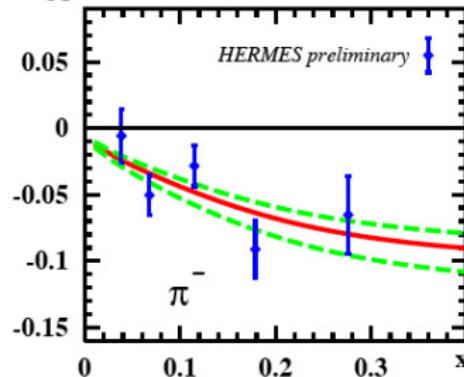
$A_{UT}^{\sin(\phi+\phi_S)}(x)$  for proton

(a)



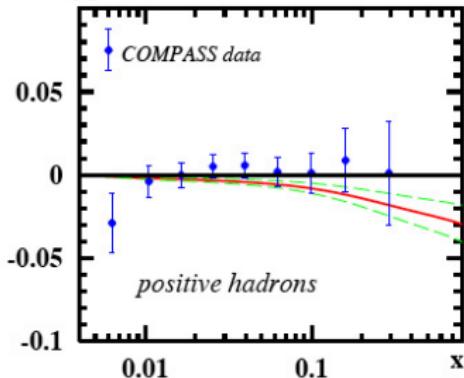
$A_{UT}^{\sin(\phi+\phi_S)}(x)$  for proton

(b)



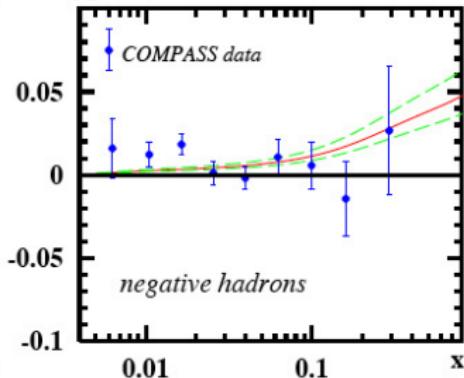
$A_{UT}^{\sin\phi_C}(x)$  for deuteron

(c)



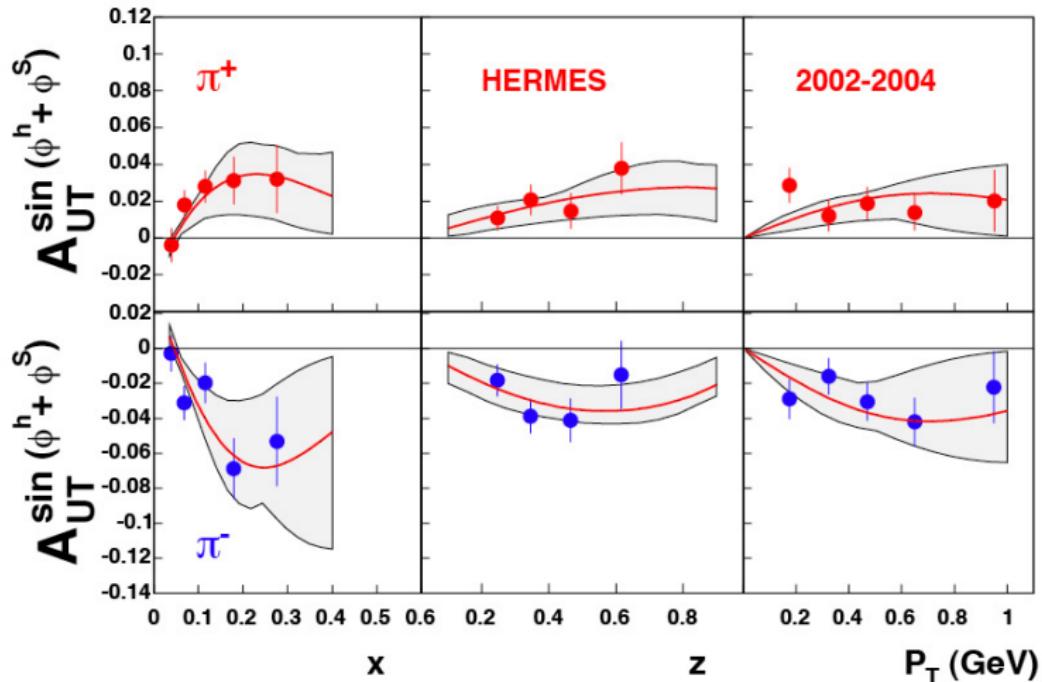
$A_{UT}^{\sin\phi_C}(x)$  for deuteron

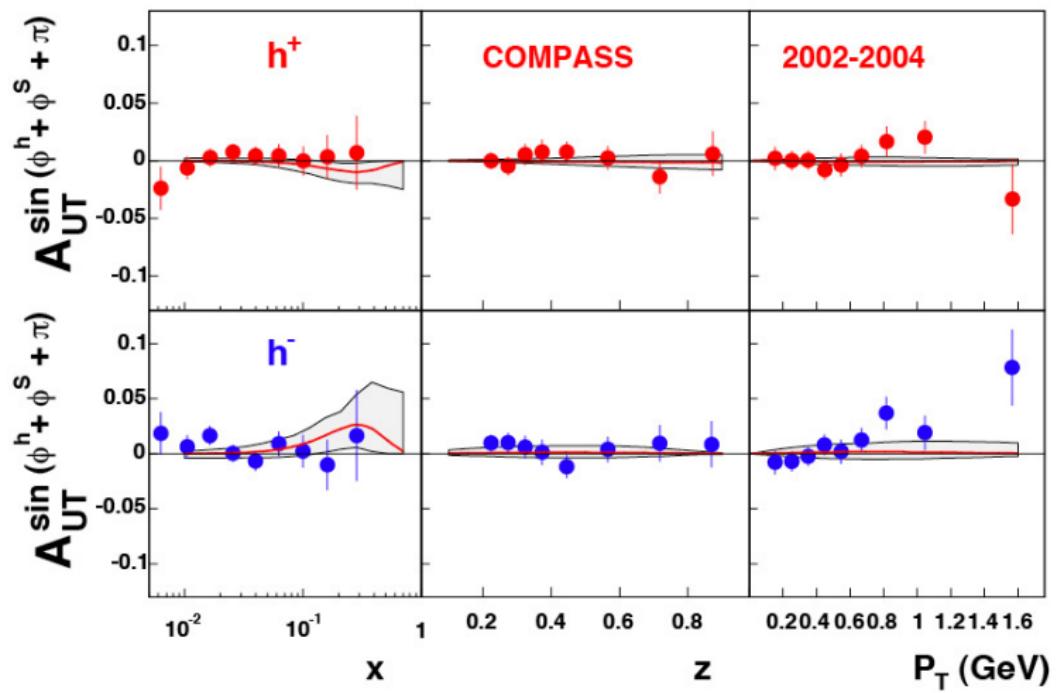
(d)



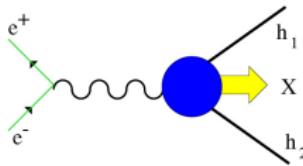
global best fit to HERMES and COMPASS SIDIS and BELLE  $e^+e^-$  at KEK

$$h_1(x, \mathbf{k}_\perp) \sim [f_1(x) + g_1(x)] e^{-\alpha \mathbf{k}_\perp^2}, \quad H_1^\perp(x, \mathbf{p}_\perp) \sim D_1(x) e^{-\beta \mathbf{p}_\perp^2}$$





Anselmino et al., hep-ph/0701006



BELLE  $e^+ e^- \rightarrow h_1 h_2 X$

$$\frac{d\sigma^{e^+ e^- \rightarrow h_1 h_2 X}}{dz_1 dz_2 d^2 \mathbf{P}_{h_1 \perp} d^2 \mathbf{P}_{h_2 \perp} d \cos \theta} = \sum_{q, s_1, s_2} \frac{d\hat{\sigma}^{e^+ e^- \rightarrow q(s_1) \bar{q}(s_2)}}{d \cos \theta} D_{h_1/q, s_1}(z_1, \mathbf{P}_{h_1 \perp}) D_{h_2/\bar{q}, s_2}(z_2, \mathbf{P}_{h_2 \perp})$$

$$D_{h/q, s}(z, \mathbf{P}_{h \perp}) = H_1(z, \mathbf{P}_{h \perp}) + \frac{\mathbf{P}_{h \perp}}{zM_h} \textcolor{red}{H_1^\perp(z, \mathbf{P}_{h \perp})} \hat{s} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{P}}_{h \perp})$$

$$\hat{s} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{P}}_{h \perp}) = \cos \phi$$

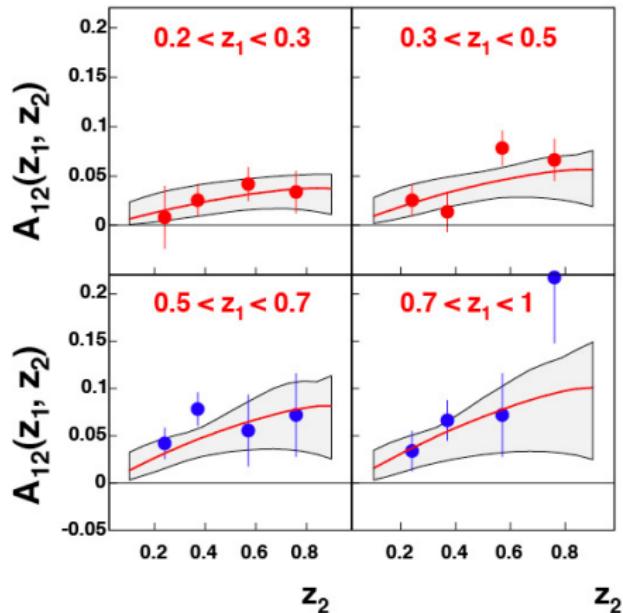
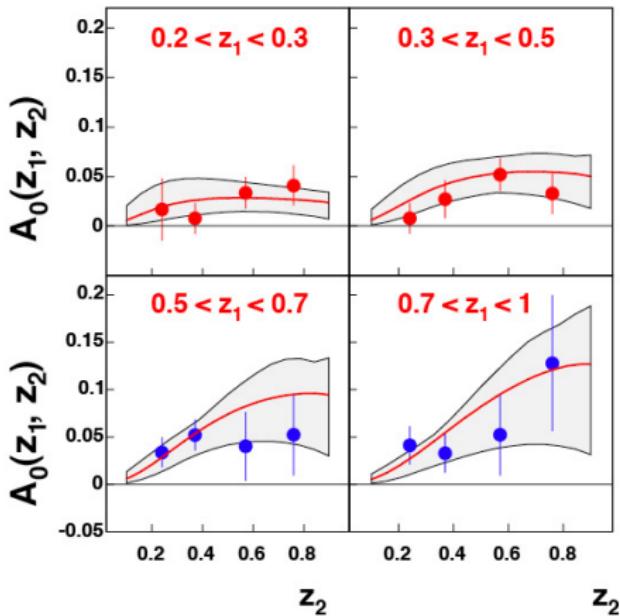
depending on the selected kinematics to detect hadrons one defines

$$A_0(z_1, z_2) = \frac{1}{\pi} \frac{z_1 z_2}{z_1^2 + z_2^2} \frac{\langle \sin^2 \theta_2 \rangle}{\langle 1 + \cos^2 \theta_2 \rangle} (P_U - P_L)$$

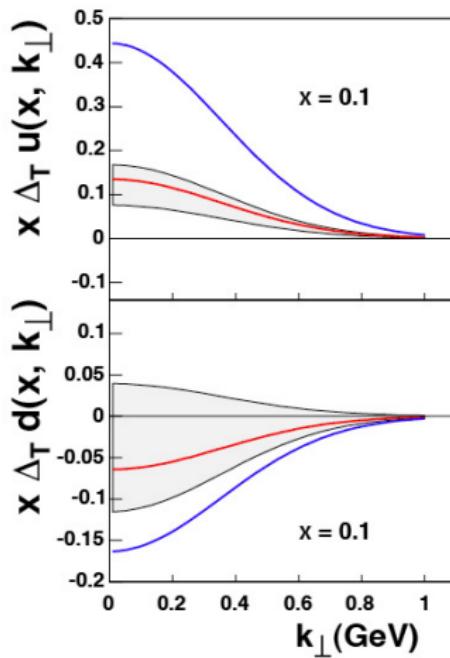
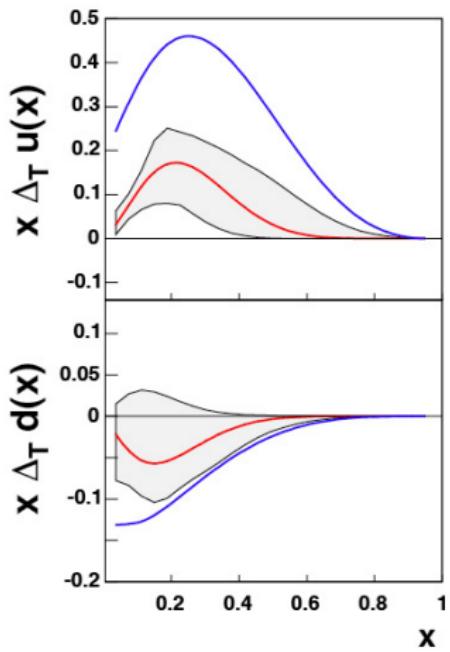
$$A_{12}(z_1, z_2) = \frac{1}{8} \frac{\langle \sin^2 \theta \rangle}{\langle 1 + \cos^2 \theta \rangle} (P_U - P_L)$$

with  $P_U$  ( $P_L$ ) the contribution of unlike-sign (like-sign) pion production

BELLE  $e^+e^- \rightarrow h_1h_2X$

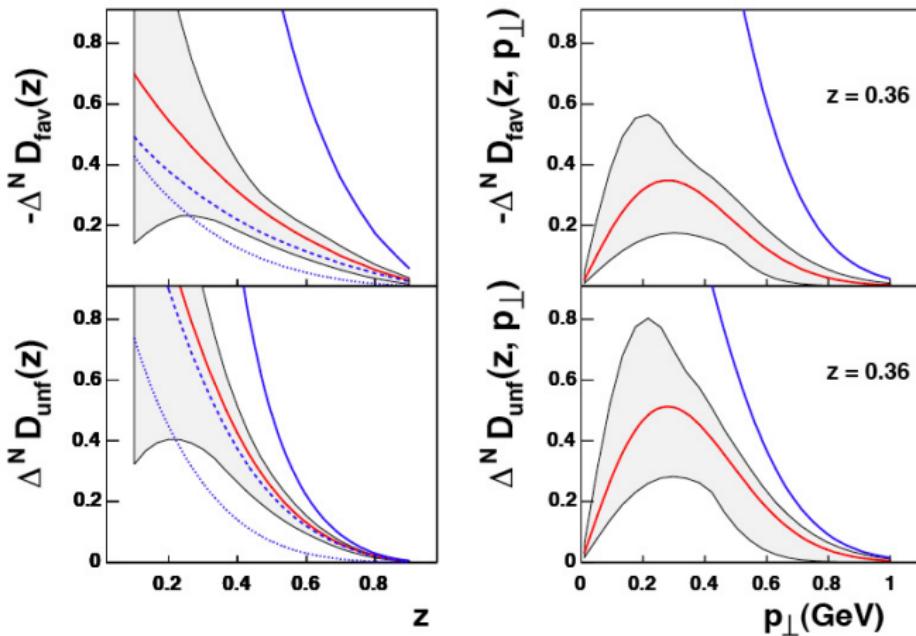


Anselmino *et al.*, hep-ph/0701006



Left (right) panel: integrated (unintegrated)  $u$  and  $d$  transversity from global best fit. Soffer bound in blue.

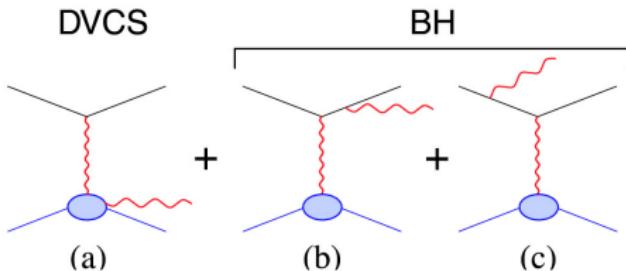
Anselmino *et al.*, hep-ph/0701006



Left (right) panel: integrated (unintegrated) favored ( $u \rightarrow \pi^+$ ) and unfavored ( $u \rightarrow \pi^-$ ) Collins function from global best fit. Positivity bound in blue.

Anselmino *et al.*, hep-ph/0701006

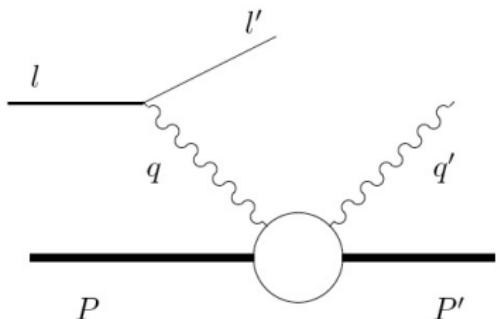
## (deeply) virtual Compton scattering (DVCS)



$$\frac{d\sigma}{dx_B dy d|\Delta^2| d\phi d\varphi} = \frac{\alpha^3 x_B y}{16\pi^2 Q^2 \sqrt{1 + 4x_B^2 M^2/Q^2}} |\mathcal{T}|^2$$

$$|\mathcal{T}|^2 = |\mathcal{T}_{BH}|^2 + |\mathcal{T}_{DVCS}|^2 + \mathcal{T}_{DVCS} \mathcal{T}_{BH}^* + \mathcal{T}_{DVCS}^* \mathcal{T}_{BH}$$

## virtual Compton scattering



$$\bar{P}^\mu = \frac{1}{2}(P^\mu + P'^\mu)$$

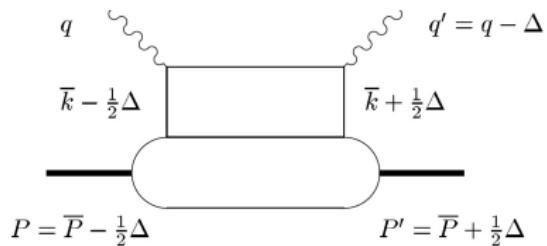
$$\Delta^\mu = P'^\mu - P^\mu = q^\mu - q'^\mu$$

$$\textcolor{red}{t} = (P' - P)^2 = \Delta^2$$

$$2\xi = -\frac{\Delta^+}{\bar{P}^+}, \quad \bar{x} = \frac{\bar{k}^+}{\bar{P}^+}$$

$$|\xi| < \sqrt{\frac{-t}{4M^2 - t}}$$

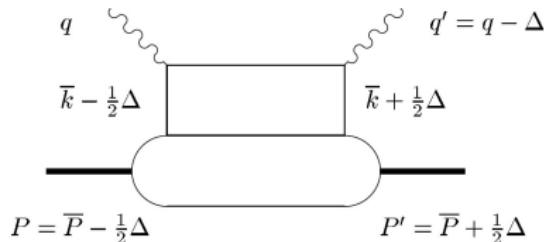
$$\bar{q}^\mu = \frac{1}{2}(q^\mu + q'^\mu)$$



## the Compton amplitude

$$T_{DVCS}^{\mu\nu} = i \int d^4 z e^{i\bar{q}\cdot z} \langle P' S' | T[J^\mu(-\frac{1}{2}z) J^\nu(\frac{1}{2}z)] | PS \rangle$$

to leading order



$$\begin{aligned} T_{DVCS}^{\mu\nu} &= g_{\perp}^{\mu\nu} \int_{-1}^1 d\bar{x} \left( \frac{1}{\bar{x} - \xi + i\epsilon} + \frac{1}{\bar{x} + \xi - i\epsilon} \right) \sum_q e_q^2 F^q(\bar{x}, \xi, t) \\ &\quad + i\varepsilon^{\mu\nu\alpha\beta} n_{+\alpha} n_{-\beta} \int_{-1}^1 d\bar{x} \left( \frac{1}{\bar{x} - \xi + i\epsilon} + \frac{1}{\bar{x} + \xi - i\epsilon} \right) \sum_q e_q^2 \tilde{F}^q(\bar{x}, \xi, t) \end{aligned}$$

Xiangdong Ji, PRL 78 (1997) 610; PR D 55 (1997) 7114

Radyushkin, PL B 380 (1996) 417; Müller *et al.*, Fortschr. Phys. 42 (1994) 101

## generalized parton distributions (GPDs)

- $$\begin{aligned} F^q(\bar{x}, \xi, t) &= \frac{1}{2} \int \frac{dz^-}{2\pi} e^{i\bar{x}\bar{P}^+ z^-} \langle P', \lambda' | \bar{\psi}(-\frac{1}{2}z) \gamma^+ \psi(\frac{1}{2}z) | P, \lambda \rangle \Big|_{z^+=0, z_T=0} \\ &= \frac{1}{2\bar{P}^+} \bar{u}(P', \lambda') \left[ H^q(\bar{x}, \xi, t) \gamma^+ + E^q(\bar{x}, \xi, t) \frac{i\sigma^{+\alpha} \Delta_\alpha}{2M} \right] u(P, \lambda), \end{aligned}$$
- $$\begin{aligned} \tilde{F}^q(\bar{x}, \xi, t) &= \frac{1}{2} \int \frac{dz^-}{2\pi} e^{i\bar{x}\bar{P}^+ z^-} \langle P', \lambda' | \bar{\psi}(-\frac{1}{2}z) \gamma^+ \gamma_5 \psi(\frac{1}{2}z) | P, \lambda \rangle \Big|_{z^+=0, z_T=0} \\ &= \frac{1}{2\bar{P}^+} \bar{u}(P', \lambda') \left[ \tilde{H}^q(\bar{x}, \xi, t) \gamma^+ \gamma_5 + \tilde{E}^q(\bar{x}, \xi, t) \frac{\gamma_5 \Delta^+}{2M} \right] u(P, \lambda) \end{aligned}$$
- $$\begin{aligned} F_T^q(\bar{x}, \xi, t) &= \frac{1}{2} \int \frac{dz^-}{2\pi} e^{i\bar{x}\bar{P}^+ z^-} \langle P', \lambda' | \bar{\psi}(-\frac{1}{2}z) i\sigma^{+i} \gamma_5 \psi(\frac{1}{2}z) | P, \lambda \rangle \Big|_{z^+=0, z_T=0} \\ &= \frac{1}{2\bar{P}^+} \bar{u}(P', \lambda') \left[ H_T^q(\bar{x}, \xi, t) i\sigma^{+i} \gamma_5 + \tilde{H}_T^q(\bar{x}, \xi, t) \frac{\epsilon^{+j\alpha\beta} \Delta_\alpha \bar{P}_\beta}{M^2} \right. \\ &\quad \left. + E_T^q(\bar{x}, \xi, t) \frac{\epsilon^{+j\alpha\beta} \Delta_\alpha \gamma_\beta}{2M} + \tilde{E}_T^q(\bar{x}, \xi, t) \frac{\epsilon^{+j\alpha\beta} \bar{P}_\alpha \gamma_\beta}{M} \right] u(P, \lambda). \end{aligned}$$

Diehl, EPJ C 19 (2001) 485

## link to ordinary parton distributions and form factors

- in the forward direction:  $P = P' \Rightarrow \xi = 0, t = 0, \bar{x} \rightarrow x = k^+ / P^+$

$$H^q(x, 0, 0) = \begin{cases} f_1^q(x), & x > 0 \\ -\bar{f}_1^q(-x), & x < 0 \end{cases}$$

$$\tilde{H}^q(x, 0, 0) = \begin{cases} g_1^q(x), & x > 0 \\ \bar{g}_1^q(-x), & x < 0 \end{cases}$$

$$H_T^q(x, 0, 0) = \begin{cases} h_1^q(x), & x > 0 \\ \bar{h}_1^q(-x), & x < 0 \end{cases}$$

- $\xi$ -dependence disappears in first moments

$$\int_{-1}^1 d\bar{x} H^q(\bar{x}, \xi, t) = F_1^q(-t), \quad \int_{-1}^1 d\bar{x} E^q(\bar{x}, \xi, t) = F_2^q(-t)$$

$$\int_{-1}^1 d\bar{x} \tilde{H}^q(\bar{x}, \xi, t) = G_A^q(-t), \quad \int_{-1}^1 d\bar{x} \tilde{E}^q(\bar{x}, \xi, t) = G_P^q(-t)$$

# twist-two operators and polynomiality of GPDs

## using Lorentz symmetry, parity and time-reversal invariance

$$\begin{aligned}
 \langle P' | \mathcal{O}_q^{\mu_1 \mu_2 \dots \mu_n} | P \rangle &= \langle P' | \bar{\psi}_q i \mathcal{D}^{(\mu_1} \dots i \mathcal{D}^{\mu_{n-1}} \gamma^{\mu_N)} \psi_q | P \rangle \\
 &= \bar{u}(P') \gamma^{(\mu_1} u(P) \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} A_{qn,2i}(t) \Delta^{\mu_2} \dots \Delta^{\mu_{2i+1}} \bar{P}^{\mu_{2i+2}} \dots \bar{P}^{\mu_n)} \\
 &\quad + \bar{u}(P') \frac{\sigma^{(\mu_1 \alpha} i \Delta_\alpha}{2M} u(P) \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} B_{qn,2i}(t) \Delta^{\mu_2} \dots \Delta^{\mu_{2i+1}} \bar{P}^{\mu_{2i+2}} \dots \bar{P}^{\mu_n)} \\
 &\quad + C_{qn}(t) \text{Mod}(n+1, 2) \frac{1}{M} \bar{u}(P') u(P) \Delta^{(\mu_1} \dots \Delta^{\mu_n)}
 \end{aligned}$$

in particular

$$\begin{aligned}
 \int_{-1}^1 d\bar{x} \bar{x}^n H^q(\bar{x}, \xi, t) &= \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} A_{qn,2i}(t) (2\xi)^{2i} + \text{Mod}(n+1, 2) C_{qn}(t) (2\xi)^n \\
 \int_{-1}^1 d\bar{x} \bar{x}^n E^q(\bar{x}, \xi, t) &= \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} B_{qn,2i}(t) (2\xi)^{2i} - \text{Mod}(n+1, 2) C_{qn}(t) (2\xi)^n
 \end{aligned}$$

$\implies \int_{-1}^1 d\bar{x} \bar{x}^n [H^q(\bar{x}, \xi, t) + E^q(\bar{x}, \xi, t)] \quad \text{even polynomial in } \xi \text{ of degree } n$

## Ji's sum rule

- QCD angular momentum as gauge-invariant sum  $\mathbf{J} = \mathbf{J}_q + \mathbf{J}_g$

$$J_{q,g}^i = \frac{1}{2} \epsilon^{ijk} \int d^3x \left( T_{q,g}^{0k} x^j - T_{q,g}^{0j} x^k \right)$$

- define form factor of quark and gluon energy-momentum tensor

$$\langle P' | T_{q,g}^{\mu\nu} | P \rangle = \bar{u}(P') \left[ A_{q,g}(\Delta^2) \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g}(\Delta^2) \frac{\bar{P}^{(\mu} i \sigma^{\nu)\alpha} \Delta_\alpha}{2M} + C_{q,g}(\Delta^2) \frac{\Delta^{(\mu} \Delta^{\nu)}}{M} \right] u(P)$$

$$J_{q,g}^i = \frac{1}{2} [A_{q,g}(0) + B_{q,g}(0)]$$

$$\Rightarrow \langle P | J_z | P \rangle = \frac{1}{2} = J_q + J_g = \frac{1}{2} \Delta \Sigma + L_q + J_g$$

$$\mathbf{J}_q = \frac{1}{2} \int_{-1}^{+1} d\bar{x} \bar{x} [H^q(\bar{x}, \xi, t=0) + E^q(\bar{x}, \xi, t=0)]$$

## parton interpretation

in terms of the “good” light-cone components of the field

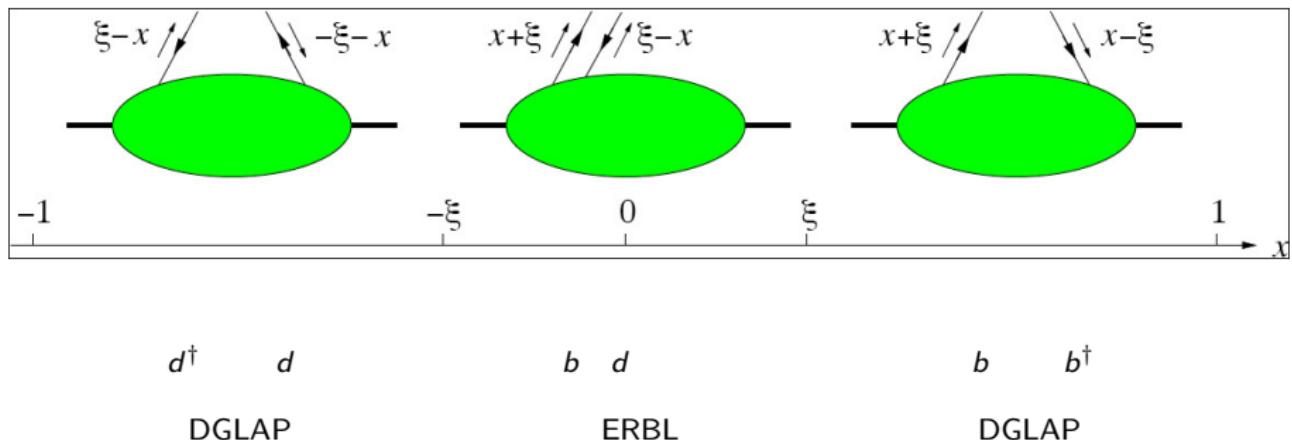
$$\bar{\psi}_q(-\frac{1}{2}y)\gamma^+\psi(\frac{1}{2}y) = \sqrt{2}\phi_q^{c\dagger}(-\frac{1}{2}y)\phi_q^c(\frac{1}{2}y)$$

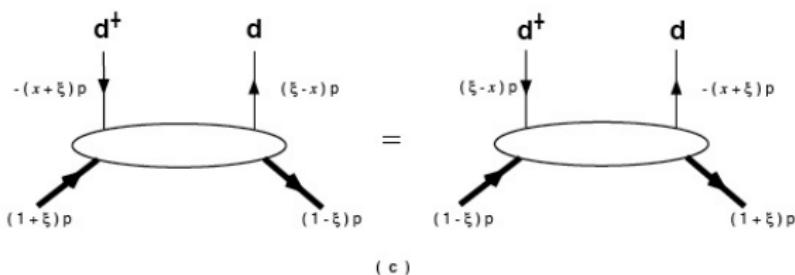
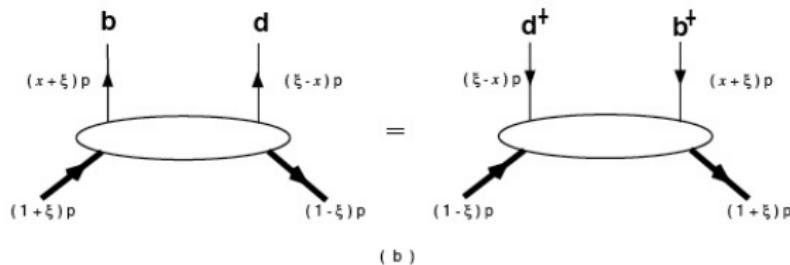
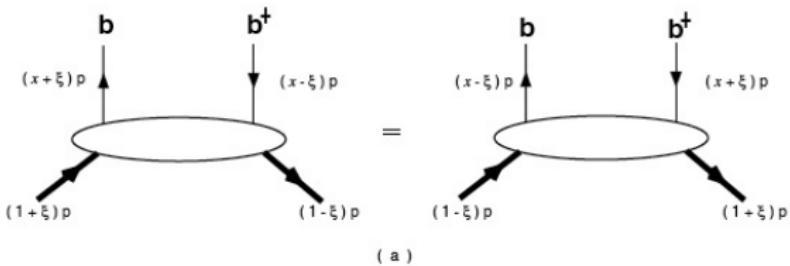
with quark field in momentum space

$$\begin{aligned} \phi_q^c(z^-, \mathbf{z}_\perp) &= \int \frac{dk^+ d\mathbf{k}_\perp}{2k^+(2\pi)^3} \Theta(k^+) \sum_\mu \left\{ \textcolor{red}{b_q(w)} u_+(k, \mu) e^{-ik^+ z^- + i\mathbf{k}_\perp \cdot \mathbf{z}_\perp} \right. \\ &\quad \left. + \textcolor{red}{d_q^\dagger(w)} v_+(k, \mu) e^{+ik^+ z^- - i\mathbf{k}_\perp \cdot \mathbf{z}_\perp} \right\} \end{aligned}$$

$$\begin{aligned} &\sum_{c,c'} \int \frac{dy^-}{2\pi} e^{ix\bar{P}^+ y^-} \bar{\psi}(-\frac{1}{2}y) \gamma^+ \psi(\frac{1}{2}y) \\ &= 2\sqrt{2} \int \frac{dk'^+ d\mathbf{k}'_\perp}{2k'^+(2\pi)^3} \Theta(k'^+) \int \frac{dk^+ d\mathbf{k}_\perp}{2k^+(2\pi)^3} \Theta(k^+) \\ &\quad \times \sum_{\mu, \mu', c, c'} \delta_{c'c} \left\{ \delta(2x\bar{P}^+ - k'^+ - k^+) \textcolor{red}{b_q^\dagger(w')} b_q(w) u_+^\dagger(k', \mu') u_+(k, \mu) \right. \\ &\quad + \delta(2x\bar{P}^+ + k'^+ + k^+) \textcolor{red}{d_q^\dagger(w')} \textcolor{red}{d_q(w)} v_+^\dagger(k', \mu') v_+(k, \mu) \\ &\quad + \delta(2x\bar{P}^+ + k'^+ - k^+) \textcolor{red}{d_q^\dagger(w')} b_q(w) v_+^\dagger(k', \mu') u_+(k, \mu) \\ &\quad \left. + \delta(2x\bar{P}^+ - k'^+ + k^+) \textcolor{red}{b_q^\dagger(w')} \textcolor{blue}{d_q^\dagger(w)} u_+^\dagger(k', \mu') v_+(k, \mu) \right\} \end{aligned}$$

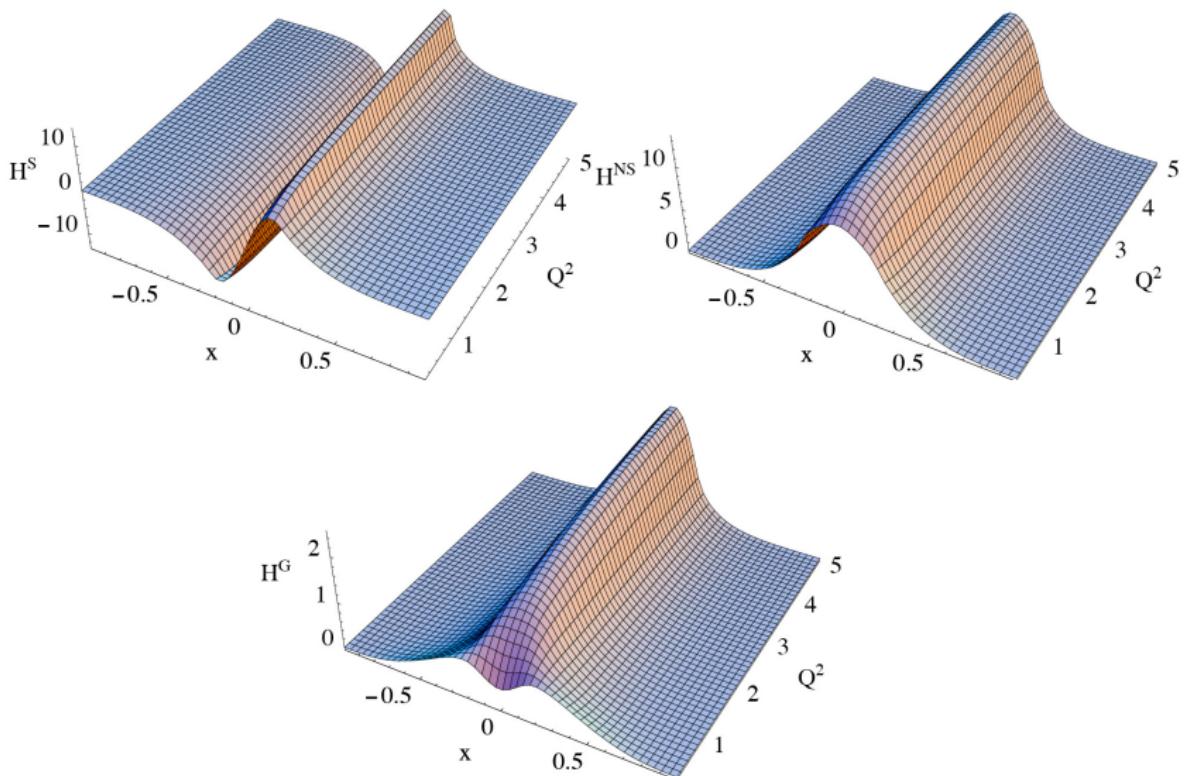
## the parton interpretation of GPDs





$\xi$ -symmetry of GPDs ( $\xi > 0$ ): (a)  $x > \xi$ , (b)  $-\xi < x < \xi$ , (c)  $x < -\xi$





Pasquini, Traini, S.B., P.R. D 71 (2005) 034022

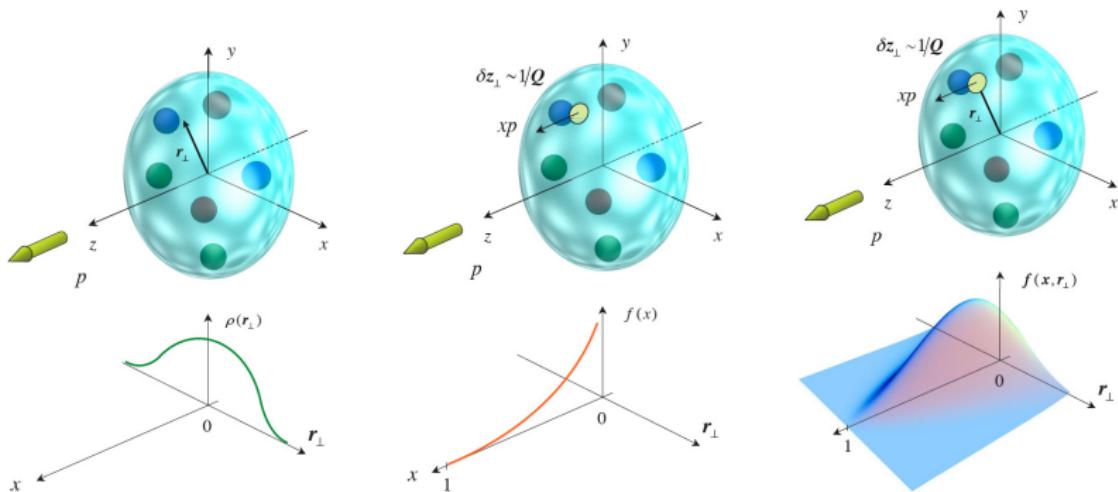
# probing transversely localized partons

Burkardt, P.R. D 62 (2000) 071503

in impact parameter space, for purely transverse momentum transfer  
 $(\Delta^+ = 0, \text{ i.e. } \xi = 0, \text{ } t = -\Delta_{\perp}^2)$

$$q(x, \mathbf{b}_{\perp}) = \int \frac{d^2 \Delta_{\perp}}{(2\pi)^2} e^{-i \Delta_{\perp} \cdot \mathbf{b}_{\perp}} H^q(x, 0, -\Delta_{\perp}^2)$$

probabilistic interpretation of form factors, parton densities and GPDs at  $\xi = 0$



quark helicity projected out by  $\frac{1}{2}\bar{q}\gamma^+[1 + \lambda\gamma_5]q$

density of quark with helicity  $\lambda$ , momentum fraction  $x$  and transverse distance  $\mathbf{b}_\perp$  from center of proton in state  $|\Lambda, \mathbf{S}\rangle$ :

$$\frac{1}{2} \left[ F(x, \mathbf{b}_\perp) + \lambda \tilde{F}(x, \mathbf{b}_\perp) \right] = \frac{1}{2} \left[ H(x, \mathbf{b}_\perp^2) - S^i \epsilon^{ij} b^j \frac{1}{m} \frac{\partial}{\partial \mathbf{b}_\perp^2} E(x, \mathbf{b}_\perp^2) + \lambda \Lambda \tilde{H}(x, \mathbf{b}_\perp^2) \right]$$

proton polarization along  $\hat{x}$

$$q(x, \mathbf{b}_\perp)$$

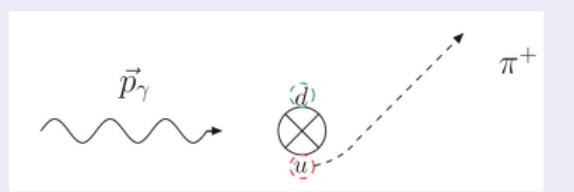
$$\leftarrow q_X(x, \mathbf{b}_\perp) \rightarrow$$

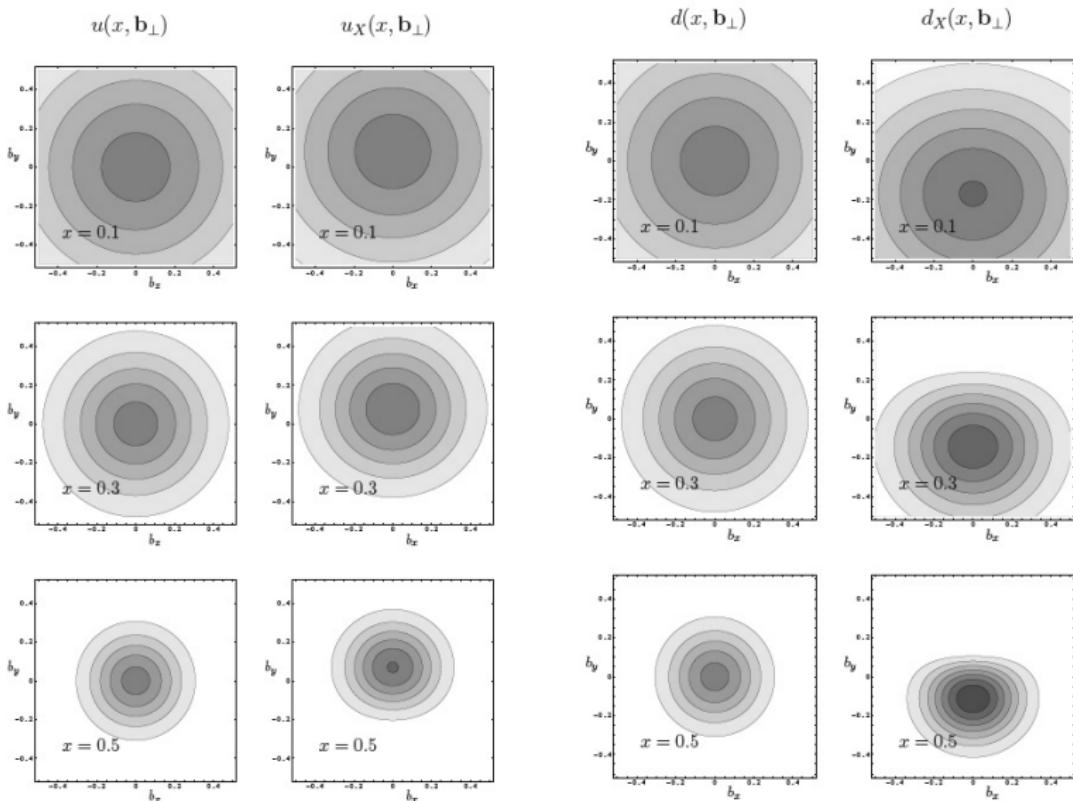
N.B.  $d_y^q \equiv \int dx \int d^2 \mathbf{b}_\perp b_y q_X(x, \mathbf{b}_\perp) = \int dx E^q(x, 0, 0) = \frac{\kappa^q}{2M}$ ,

$$\kappa_u = 2\kappa_p + \kappa_n = 1.673, \quad \kappa_d = 2\kappa_n + \kappa_p = -2.033$$

distortion + FSI

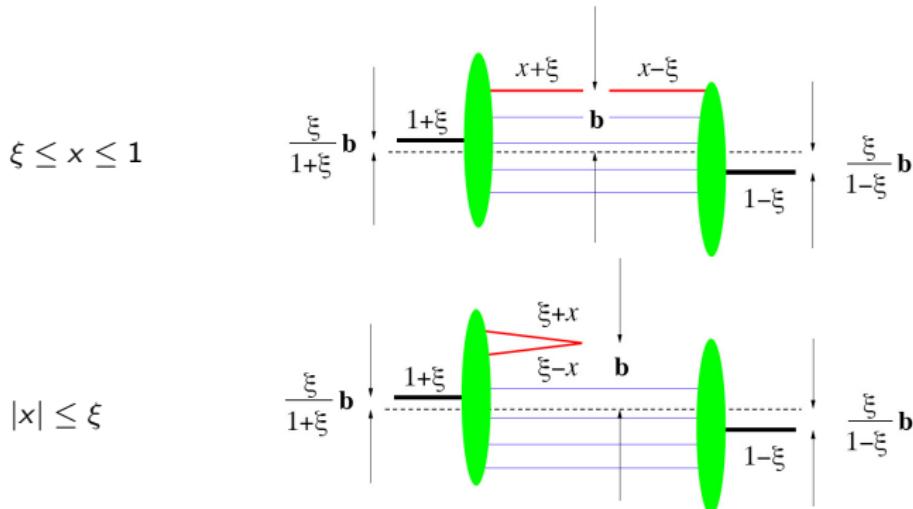
⇒ Sivers effect





## representation of a GPD in impact parameter space for $\xi \neq 0$

- in a frame with large  $P^+$  the proton is seen as a bunch of partons
- the center-of-momentum of the initial and final proton are differently displaced by a finite longitudinal momentum transfer
- GPDs probe the active partons at transverse position  $\mathbf{b}$

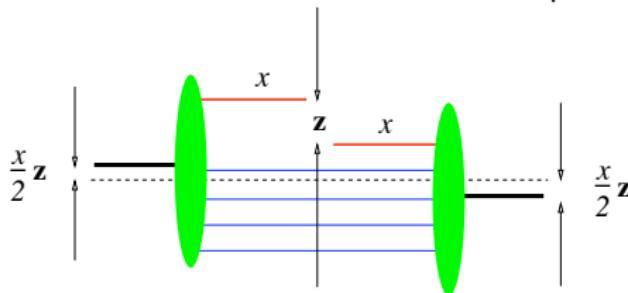


## impact parameter representation of an unintegrated parton distribution

$$f_1(x, \mathbf{k}_T) = \int \frac{d^2 \mathbf{z} dz^-}{16\pi^3} e^{ixp^+ z^- - i\mathbf{k}_T \cdot \mathbf{z}} \langle p, \lambda | \bar{q}(0, -\frac{1}{2}z^-, -\frac{1}{2}\mathbf{z}) \gamma^+ q(0, \frac{1}{2}z^-, \frac{1}{2}\mathbf{z}) | p, \lambda \rangle$$

$$f_1(x, \mathbf{z}) = \int d^2 \mathbf{k}_T e^{i\mathbf{k}_T \cdot \mathbf{z}} f_1(x, \mathbf{k}_T)$$

$\mathbf{z}$  is the Fourier conjugate variable to the transverse momentum  $\mathbf{k}_T$  of the struck parton  
unintegrated parton distributions describe correlation in transverse position of a single quark



in contrast to GPDs, the struck quark now has different transverse location *relative* to spectator partons in the initial and the final state, in addition to overall shift of proton center of momentum dictated again by Lorentz invariance

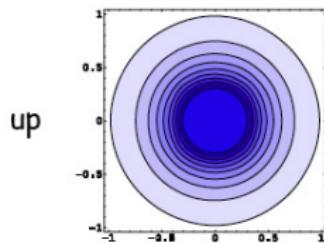
## spin density in the transverse plane and GPDs

quarks with transverse polarization  $\mathbf{s}$  projected out by  $\frac{1}{2}\bar{q}\gamma^+[1 + (\mathbf{s}\gamma)\gamma_5]q$  probability to find a quark with momentum fraction  $x$  and transverse spin  $\mathbf{s}_\perp$  at distance  $\mathbf{b}$  from the center-of-momentum of the nucleon with transverse spin  $\mathbf{S}_\perp$ :

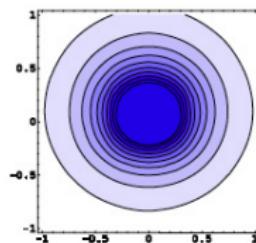
$$\begin{aligned}\rho(x, \mathbf{b}, \mathbf{s}_\perp, \mathbf{S}_\perp) &= \frac{1}{2} [F(x, \mathbf{b}) + s^i F_T^i(x, \mathbf{b}, \mathbf{s}_\perp, \mathbf{S}_\perp)] \\ &= \frac{1}{2} \left[ H + s^i S^i \left( H_T - \frac{1}{4M^2} \Delta_b \tilde{H}_T \right) \right. && \text{monopole} \\ &\quad - S^i \epsilon^{ij} b^j \frac{1}{M} E' - s^i \epsilon^{ij} b^j \frac{1}{M} (E'_T + 2\tilde{H}'_T) && \text{dipole} \\ &\quad \left. + s^i (2b^i b^j - b^2 \delta_{ij}) S^j \frac{1}{M^2} \tilde{H}''_T \right] && \text{quadrupole}\end{aligned}$$

## Spin densities

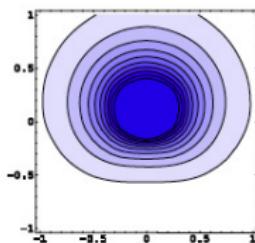
unpol. quark in unpol. target



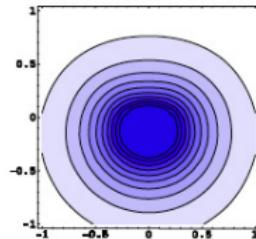
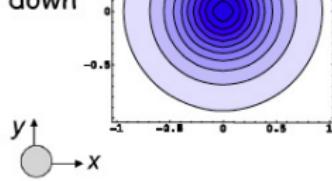
unpol. quark in  $\perp$  target



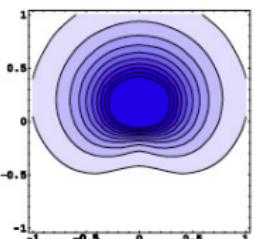
$\perp$  pol. quark in unpol. target



down

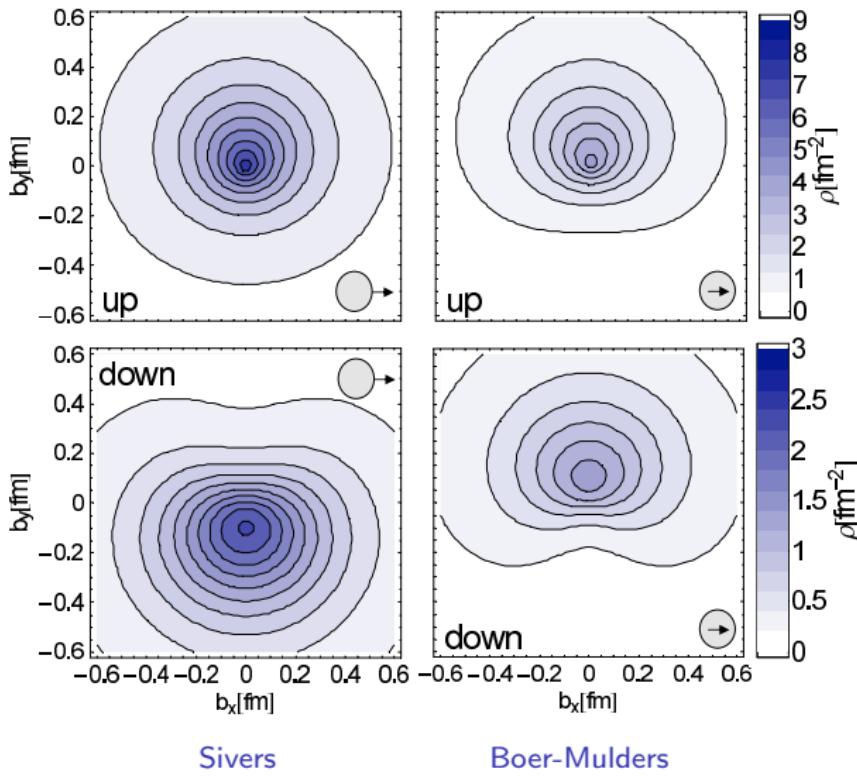


↓  
Sivers



↓  
Boer-Mulders

B. Pasquini



Sivers

Boer-Mulders

Göckeler *et al.*, hep-lat/0612032

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